

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2020

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2020 or tax year beginning **JUL 1, 2020**, and ending **JUN 30, 2021**

Name of foundation
THE PETER AND CARMEN LUCIA BUCK FOUNDATION, INC.

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
633 THIRD AVENUE, 16TH FLOOR

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10017

A Employer identification number
06-1547852

B Telephone number
212 360-6173

C If exemption application is pending, check here

D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ **685,898,037.**

J Accounting method: Cash Accrual
 Other (specify) **MODIFIED CASH**
(Part I, column (d), must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	17,479,469.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	5,602.	5,602.		STATEMENT 2
	4 Dividends and interest from securities	10,274,968.	10,274,968.		STATEMENT 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	32,959,749.			STATEMENT 1
	b Gross sales price for all assets on line 6a	131,770,733.			
	7 Capital gain net income (from Part IV, line 2)		33,287,408.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income	110,038.	110,038.		STATEMENT 4	
12 Total. Add lines 1 through 11	60,829,826.	43,678,016.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	365,009.	88,253.		276,756.
	14 Other employee salaries and wages	849,705.	3,089.		846,616.
	15 Pension plans, employee benefits	368,524.	22,020.		346,504.
	16a Legal fees	95,000.	0.		95,000.
	b Accounting fees	80,145.	0.		80,145.
	c Other professional fees	713,370.	698,370.		15,000.
	17 Interest				
	18 Taxes	485,000.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy	159,154.	0.		159,154.
	21 Travel, conferences, and meetings	4,987.	0.		4,987.
	22 Printing and publications				
	23 Other expenses	152,277.	0.		152,277.
	24 Total operating and administrative expenses. Add lines 13 through 23	3,273,171.	811,732.		1,976,439.
	25 Contributions, gifts, grants paid	27,432,082.			27,432,082.
26 Total expenses and disbursements. Add lines 24 and 25	30,705,253.	811,732.		29,408,521.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	30,124,573.				
b Net investment income (if negative, enter -0-)		42,866,284.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only.		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	500.	500.	500.
	2 Savings and temporary cash investments	25,990,637.	26,213,241.	26,213,241.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 11	107,690,912.	133,670,296.	133,670,296.
	b Investments - corporate stock STMT 12	182,210,327.	224,606,479.	224,606,479.
	c Investments - corporate bonds STMT 13	85,456,521.	74,971,729.	74,971,729.
	11 Investments - land, buildings, and equipment: basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 14	148,017,689.	200,026,320.	200,026,320.	
14 Land, buildings, and equipment: basis ▶ 15,763,219.				
Less: accumulated depreciation ▶	15,763,219.	15,763,219.	15,763,219.	
15 Other assets (describe ▶ PROGRAM RELATED INV)	11,746,253.	10,646,253.	10,646,253.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	576,876,058.	685,898,037.	685,898,037.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions	576,876,058.	685,898,037.	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances	576,876,058.	685,898,037.	
30 Total liabilities and net assets/fund balances	576,876,058.	685,898,037.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	576,876,058.
2 Enter amount from Part I, line 27a	2	30,124,573.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 10	3	78,897,406.
4 Add lines 1, 2, and 3	4	685,898,037.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	685,898,037.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SALE OF PUBLICLY TRADED SECURITIES	P		
b SALE OF DONATED SECURITIES	D		
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 125,232,690.		96,572,172.	28,660,518.
b 5,701,587.		1,911,153.	3,790,434.
c 836,456.			836,456.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			28,660,518.
b			3,790,434.
c			836,456.
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	33,287,408.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income
SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE.

1 Reserved	(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
Reserved				
Reserved				
Reserved				
Reserved				
Reserved				
2 Reserved				2
3 Reserved				3
4 Reserved				4
5 Reserved				5
6 Reserved				6
7 Reserved				7
8 Reserved				8

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Reserved	1	595,841.
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
3	Add lines 1 and 2	3	595,841.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	595,841.
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	556,983.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	556,983.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	0.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	38,858.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
d		
e		
2		X
3		X
4a		X
4b		N/A
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.PCLBFOUNDATION.ORG	X	
14 The books are in care of ► D. BEN BENOIT Telephone no. ► 860-572-1242 Located at ► 7 MASON'S ISLAND ROAD, SUITE 1, MYSTIC, CT ZIP+4 ► 06355		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		N/A
16 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		X
Organizations relying on a current notice regarding disaster assistance, check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2020, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2020.)		N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:		Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	X
Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE STATEMENT 17	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		6b	X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 16		365,009.	92,210.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CARRIE SCHINDELE - 633 THIRD AVENUE, 16TH FLOOR, NEW YORK, NY 10017	EVP - PROGRAMS & ADMIN 40.00	192,241.	24,006.	0.
DANIEL WEISS - 633 THIRD AVENUE, 16TH FLOOR, NEW YORK, NY 10017	PROGRAM DIRECTOR 40.00	105,806.	29,327.	0.
REBECCA GREENBERG ELLIS - 633 THIRD AVENUE, 16TH FLOOR, NEW YORK, NY	PROGRAM OFFICER 40.00	100,739.	32,352.	0.
JULIE WONG - 633 THIRD AVENUE, 16TH FLOOR, NEW YORK, NY 10017	GRANTS & ADMINISTRATIVE MANAGER 40.00	96,205.	13,032.	0.
LILLIAN LIANG - 633 THIRD AVENUE, 16TH FLOOR, NEW YORK, NY 10017	PROGRAM OFFICER 40.00	87,465.	18,508.	0.
Total number of other employees paid over \$50,000				0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NORTHERN TRUST - ONE INTERNATIONAL PLACE, SUITE 1600, BOSTON, MA 02110	INVESTMENT MANAGEMENT	364,712.
BANK OF AMERICA PRIVATE BANK 185 ASYLUM STREET, HARTFORD, CT 06103	INVESTMENT MANAGEMENT	274,143.
MICHAEL NEUFELD AND ASSOCIATES PO BOX 72, MILFORD, CT 06460	LEGAL	95,000.
MORGAN STANLEY - 545 LONG WHARF DR., 7TH FLOOR, NEW HAVEN, CT 06511	INVESTMENT MANAGEMENT	59,515.
PKF O'CONNOR DAVIES, LLP - 500 MAMARONECK AVENUE, SUITE 301, HARRISON, NY 10528	ACCOUNTING & AUDIT SERVICES	50,985.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 SEE STATEMENT 18	550,000.
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3	550,000.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	592,320,829.
b	Average of monthly cash balances	1b	22,109,245.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	614,430,074.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	614,430,074.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	9,216,451.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	605,213,623.
6	Minimum investment return. Enter 5% of line 5	6	30,260,681.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	30,260,681.
2a	Tax on investment income for 2020 from Part VI, line 5	2a	595,841.
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	595,841.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	29,664,840.
4	Recoveries of amounts treated as qualifying distributions	4	1,650,000.
5	Add lines 3 and 4	5	31,314,840.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	31,314,840.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	29,408,521.
b	Program-related investments - total from Part IX-B	1b	550,000.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	29,958,521.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	29,958,521.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				31,314,840.
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2020:				
a From 2015	5,525,890.			
b From 2016				
c From 2017				
d From 2018	8,830,926.			
e From 2019	2,098,643.			
f Total of lines 3a through e	16,455,459.			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$	29,958,521.			
a Applied to 2019, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2020 distributable amount				29,958,521.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2020 (If an amount appears in column (d), the same amount must be shown in column (a).)	1,356,319.			1,356,319.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	15,099,140.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2020. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2021				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7	4,169,571.			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	10,929,569.			
10 Analysis of line 9:				
a Excess from 2016				
b Excess from 2017				
c Excess from 2018	8,830,926.			
d Excess from 2019	2,098,643.			
e Excess from 2020				

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution **	Amount
Name and address (home or business)				
a Paid during the year				
50CAN 1625 K STREET NW STE 400 WASHINGTON, DC 20006	N/A	PC	LEAD (LATINOS FOR EDUCATIONAL ADVOCACY AND DIVERSITY)	680,000.
50CAN 20 CHURCH STREET, MEZZANINE HARTFORD, CT 06103	N/A	PC	GENERAL OPERATING SUPPORT FOR LOCAL CHAPTER - CONNCAN	200,000.
ACHIEVEMENT FIRST 370 JAMES STREET, SUITE 404 NEW HAVEN, CT 06513-3091	N/A	PC	GENERAL OPERATING SUPPORT	4,500,000.
ADU, PRINCESS 1155 PENNSYLVANIA AVENUE, APT. 8E BROOKLYN, NY 11239	NONE	I	C1 2020-21 SCHOLARSHIP	934.
AGRICULTURAL STEWARDSHIP ASSOCIATION 2531 STATE ROUTE 40 GREENWICH, NY 12834-2301	N/A	PC	GENERAL OPERATING SUPPORT	100,000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				27,432,082.
b Approved for future payment				
ACHIEVEMENT FIRST 370 JAMES STREET, SUITE 404 NEW HAVEN, CT 06513-3091	N/A	PC	GENERAL OPERATING SUPPORT	7,250,000.
BRANDEIS UNIVERSITY ITF SCHULTERS, KYLE 415 SOUTH ST MSC 110 WALTHAM, MA 02453-2728	NONE	I	C2 2021-22 SCHOLARSHIP	17,433.
CARVAJAL, KAREN UNIVERSITY OF PENNSYLVANIA PHILADELPHIA, PA 19104-6193	NONE	I	C2 2021-22 SCHOLARSHIP	1,070.
Total SEE CONTINUATION SHEET(S) ▶ 3b				8,225,826.

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023611 12-02-20

** SEE PURPOSE OF GRANT CONTINUATIONS

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMERICAN CIVIL LIBERTIES UNION FOUNDATION OF CONNECTICUT 765 ASYLUM AVENUE, FL 1 HARTFORD, CT 06105-2822	N/A	PC	ACLU-CONNECTICUT ACTIVITIES	30,000.
AMIZADE 305 34TH STREET PITTSBURGH, PA 15201-1311	N/A	PC	GENERAL OPERATING SUPPORT	1,000.
ANDERSON, MELITA STILLMAN 415 BOX A85, COLGATE UNIVERSITY 13 OAK DRIVE HAMILTON, NY 13346	NONE	I	C1 2020-21 SCHOLARSHIP	1,855.
ART SCIENCE RESEARCH LABORATORY 62 GREENE STREET, 3RD FLOOR NEW YORK, NY 10012-4346	N/A	POF	GENERAL OPERATING SUPPORT	10,000.
ASPETUCK LAND TRUST P.O BOX 444 WESTPORT, CT 06881-0444	N/A	PC	BELT: ASPETUCK AND MONROE LAND TRUSTS MERGER	25,000.
ASSOCIACAO BENEFICENTE DE RECUPERACAO DA ALEGRIA E SAUDE AVENIDA GETULIO VARGAS, 149, CENTRO MANTENA, MINAS GERAIS, BRAZIL 35290-000	N/A	NC	GENERAL OPERATING SUPPORT	84,412.
AVALONIA LAND CONSERVANCY PO BOX 49 OLD MYSTIC, CT 06372-0049	N/A	PC	BELT: MERGER BETWEEN AVALONIA LAND CONSERVANCY AND EAST LYME LAND TRUST	50,000.
BINGHAMTON UNIVERSITY ITF LEE, GEORGE 4400 VESTAL PARKWAY EAST BINGHAMTON, NY 13902	NONE	I	C1 2020-21 SCHOLARSHIP	24,043.
BOOKER T. WASHINGTON ACADEMY 804 STATE STREET NEW HAVEN, CT 06511-3418	N/A	PC	GENERAL OPERATING SUPPORT	650,000.
BOULDER COMMUNITY BROADCAST ASSOCIATION 4700 WALNUT ST BOULDER, CO 80301-2538	N/A	PC	GENERAL OPERATING SUPPORT	10,000.
Total from continuation sheets				21,951,148.

THE PETER AND CARMEN LUCIA BUCK
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BOULDER PRIDE (DBA OUT BOULDER COUNTY) 1443 SPRUCE ST. BOULDER, CO 80302	N/A	PC	OUT YOUTH PROGRAMMING	10,000.
BOY SCOUTS OF AMERICA - CONNECTICUT RIVERS COUNCIL 60 DARLIN ST EAST HARTFORD, CT 06108-3201	N/A	PC	CAMPERSHIPS AND FACILITY ENHANCEMENTS	50,000.
BOY SCOUTS OF AMERICA - GREATER NEW YORK COUNCILS 475 RIVERSIDE DRIVE, SUITE 600 NEW YORK, NY 10115	N/A	PC	CAMPERSHIPS AND OUTDOOR AND LEADERSHIP PROGRAMS	100,000.
BRASS CITY CHARTER SCHOOL 289 WILLOW STREET WATERBURY, CT 06710-1811	N/A	PC	GENERAL OPERATING SUPPORT	550,000.
CAPITAL PREPARATORY SCHOOLS 777 MAIN ST BRIDGEPORT, CT 06604-4979	N/A	PC	GENERAL OPERATING SUPPORT	1,550,000.
CAPITOL REGION EDUCATION COUNCIL FOUNDATION 111 CHARTER OAK AVENUE HARTFORD, CT 06106	N/A	PC	CT TEACHER RESIDENCY PROGRAM	150,000.
CHALKBEAT 1288 CONEY ISLAND AVENUE #300434 BROOKLYN, NY 11230	N/A	PC	CHALKBEAT NEW YORK	50,000.
CHANGE SUMMER 119 WEST 72ND ST., SUITE #187 NEW YORK, NY 10023-3201	N/A	PC	GENERAL OPERATING SUPPORT	50,000.
CITY CENTER DANBURY EVENTS 268 MAIN STREET DANBURY, CT 06810-6652	N/A	PC	SENIOR FOOD SECURITY: FARMERS MARKET & SENIOR DINERS CLUB	30,000.
CITY OF DANBURY 10 ELMWOOD PLACE DANBURY, CT 06810	N/A	GOV	DEPARTMENT OF ELDERLY SERVICES - ELMWOOD HALL SENIOR CENTER	75,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COLGATE UNIVERSITY ITF ANDERSON, MELITA 13 OAK DRIVE HAMILTON, NY 13346-1338	NONE	I	C1 2020-21 SCHOLARSHIP	2,764.
COLUMBIA LAND CONSERVANCY 49 MAIN ST CHATHAM, NY 12037-1225	N/A	PC	GENERAL OPERATING SUPPORT	85,000.
COLUMBIA UNIVERSITY 615 WEST 131ST STREET, 6TH FLOOR NEW YORK, NY 10027-7922	N/A	PC	SEE STATEMENT	247,500.
COLUMBIA UNIVERSITY 615 WEST 131ST STREET, 6TH FLOOR NEW YORK, NY 10027-7922	N/A	PC	SUPPORTING AND SPREADING EDUCATION SYSTEM TRANSFORMATION	50,500.
COLUMBUS, AYANNA 2158 PARESKY WILLIAMSTOWN, MA 01267	NONE	I	C1 2020-21 SCHOLARSHIP	800.
COMMUNITY ACTION AGENCY OF WESTERN CONNECTICUT, THE 66 NORTH ST DANBURY, CT 06810-5620	N/A	PC	COMMUNITY FOOD RESCUE	50,000.
COMMUNITY HEALTH PROJECT 356 WEST 18TH STREET NEW YORK, NY 10011	N/A	PC	GENERAL OPERATING SUPPORT	150,000.
COMMUNITY INITIATIVES 1000 BROADWAY, SUITE #480 OAKLAND, CA 94607-4044	N/A	PC	MEDIA-DATA RESEARCH CONSORTIUM	10,000.
CONNECTICUT COMMUNITY CARE 43 ENTERPRISE DRIVE BRISTOL, CT 06010-7457	N/A	PC	ENHANCED HOUSING AND CARE MANAGEMENT PROGRAMS	120,000.
CONNECTICUT LAND CONSERVATION COUNCIL 27 WASHINGTON STREET MIDDLETOWN, CT 06457-2872	N/A	PC	GENERAL OPERATING SUPPORT	185,000.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CONNECTICUT NEWS PROJECT 1049 ASYLUM AVE HARTFORD, CT 06105-2432	N/A	PC	CT MIRROR	75,000.
DAILY BREAD - AN ECUMENICAL FOOD PANTRY 25 WEST ST DANBURY, CT 06810-0000	N/A	PC	GENERAL OPERATING SUPPORT	45,000.
DANBURY & NEW MILFORD HOSPITAL FOUNDATION 24 HOSPITAL AVE DANBURY, CT 06810-6099	N/A	PC	FAITH COMMUNITY NURSE PROGRAM/GOLDSTONE CAREGIVER COORDINATOR	30,000.
DANBURY GRASSROOTS TENNIS P.O. BOX 2912 DANBURY, CT 06804-1430	N/A	PC	GENERAL OPERATING SUPPORT	40,000.
DEPAUW UNIVERSITY ITF HALL, ASHLEY 204 E. SEMINARY GREENCASTLE, IN 46135-0037	NONE	I	C1 2020-21 SCHOLARSHIP	34,238.
DUTCHESS LAND CONSERVANCY PO BOX 138 MILLBROOK, NY 12545-0138	N/A	PC	GENERAL OPERATING SUPPORT	125,000.
EDUCATION REFORM NOW 276 5TH AVENUE, SUITE 704 #915 NEW YORK, NY 10001-4527	N/A	PC	ERN-CONNECTICUT	275,000.
EDUCATORS FOR EXCELLENCE 80 PINE ST 28TH FLR NEW YORK, NY 10005-1733	N/A	PC	E4E-CT (\$225,000) AND E4E-NY (\$300,000)	525,000.
ESOPUS FOUNDATION, THE 41 SCHERMERHORN ST SUITE 143 BROOKLYN, NY 11201-4802	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
EXCELLENCE COMMUNITY SCHOOLS 2000 BENEDICT AVENUE BRONX, NY 10462	N/A	PC	GENERAL OPERATING SUPPORT	1,050,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EXPANDED SCHOOLS 11 WEST 42ND STREET, 3RD FLOOR NEW YORK, NY 10036-8002	N/A	PC	PATHWAYS FELLOWSHIP	200,000.
FAITHACTS FOR EDUCATION 857 POST ROAD, SUITE 310 FAIRFIELD, CT 06824	N/A	PC	GENERAL OPERATING SUPPORT	525,000.
FAMILIES NETWORK OF WESTERN CONNECTICUT 5 LIBRARY PLACE DANBURY, CT 06810-6617	N/A	PC	PARENT LEADERSHIP ALUMNI PROGRAMS	25,000.
FEEDING AMERICA 161 N CLARK STREET, SUITE 700 CHICAGO, IL 60601	N/A	PC	GENERAL OPERATING SUPPORT	100.
FRESH AIR FUND 633 THIRD AVENUE, 14TH FLOOR NEW YORK, NY 10017-6706	N/A	PC	CAMPERSHIPS AND FAMILY WELLNESS PROGRAM	50,000.
FRIENDS OF THE DANBURY MUSEUM 43 MAIN ST DANBURY, CT 06810-8011	N/A	PC	GENERAL OPERATING SUPPORT	10,000.
FUNDACAO CLINICA CARMEN LUCIA RODOVIA DO SOL, 3499, BARRA DO JUCU VILA VELHA, ESPIRITO SANTO, BRAZIL 29125-033	N/A	NC	GENERAL OPERATING SUPPORT	421,588.
GIRL SCOUT COUNCIL OF GREATER NEW YORK 40 WALL STREET, SUITE 708 NEW YORK, NY 10005-1395	N/A	PC	CAMPERSHIPS AND FACILITY ENHANCEMENTS	100,000.
GIRL SCOUTS OF CONNECTICUT 340 WASHINGTON STREET HARTFORD, CT 06106-3317	N/A	PC	CAMPERSHIPS, OUTDOOR PROGRAMS, AND FACILITY ENHANCEMENTS	100,000.
GLSEN 110 WILLIAM ST 30TH FLOOR NEW YORK, NY 10038-3901	N/A	PC	GENERAL OPERATING SUPPORT	10,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GOOD FOOD INSTITUTE 2503 D. N HARRISON ST. #19 ARLINGTON, VA 22207	N/A	PC	GENERAL OPERATING SUPPORT	3,000.
GROUNDTRUTH PROJECT, THE 10 GUEST STREET BOSTON, MA 02135-2066	N/A	PC	REPORT FOR AMERICA	175,000.
HALL, ASHLEY U.B. BOX 5505, 408 SOUTH LOCUST STREET GREENCASTLE, IN 46135-1776	NONE	I	C1 2020-21 SCHOLARSHIP	900.
HIGHLANDS CURRENT 142 MAIN ST. COLD SPRING, NY 10516-2819	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
HIGHVILLE CHARTER SCHOOL 1 SCIENCE PARK NEW HAVEN, CT 06511-1963	N/A	PC	GENERAL OPERATING SUPPORT	325,000.
HIGHVILLE CHARTER SCHOOL 1 SCIENCE PARK NEW HAVEN, CT 06511-1963	N/A	PC	GETTING TO GREAT	190,000.
HILLSIDE FOOD OUTREACH 2 WESTCHESTER PLAZA ELMSFORD, NY 10523-1607	N/A	PC	GENERAL OPERATING SUPPORT	45,000.
HORIZONS BRIDGEPORT 1057 BROAD STREET, 2ND FLOOR BRIDGEPORT, CT 06604-4219	N/A	PC	GENERAL OPERATING SUPPORT	25,000.
HUDSON HIGHLANDS LAND TRUST 20 NAZARETH WAY GARRISON, NY 10524-0000	N/A	PC	GENERAL OPERATING SUPPORT	250,000.
INSTITUTE FOR NONPROFIT NEWS 714 W. OLYMPIC BLVD. #931 LOS ANGELES, CA 90015	N/A	PC	GENERAL OPERATING SUPPORT	50,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INTREPID FALLEN HEROES FUND ONE INTREPID SQ-W 46TH ST 12TH AVE NEW YORK, NY 10036-0000	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
JERICHO PARTNERSHIP P.O. BOX 24 HAWLEYVILLE, CT 06440	N/A	PC	FOOD SECURITY RESOURCES	20,000.
JUMOKE ACADEMY 999 ASYLUM AVE. SUITE 200 HARTFORD, CT 06105	N/A	PC	GENERAL OPERATING SUPPORT	550,000.
JUMOKE ACADEMY 999 ASYLUM AVE. SUITE 200 HARTFORD, CT 06105	N/A	PC	GETTING TO GREAT	212,000.
JUNIOR ACHIEVEMENT OF GREATER FAIRFIELD 835 MAIN STREET BRIDGEPORT, CT 06604-4900	N/A	PC	GENERAL OPERATING SUPPORT	100,000.
JUNIOR ACHIEVEMENT OF SOUTHWEST NEW ENGLAND 70 FARMINGTON AVE HARTFORD, CT 06105-3704	N/A	PC	GENERAL OPERATING SUPPORT	50,000.
KIPP FOUNDATION 135 MAIN STREET, SUITE 1700 SAN FRANCISCO, CA 94105-1812	N/A	PC	POST-SECONDARY SUCCESS PARTNERSHIP	300,000.
LAND TRUST ALLIANCE 1250 H STREET, NW, SUITE 600 WASHINGTON, DC 20005-3952	N/A	PC	GENERAL OPERATING SUPPORT	175,000.
LEADERSHIP FOR EDUCATIONAL EQUITY FOUNDATION 1805 7TH STREET NW, 6TH FLOOR WASHINGTON, DC 20001-3186	N/A	PC	GENERAL OPERATING SUPPORT	475,000.
LEE, GEORGE BU BOX 21882, PO BOX 6020 BINGHAMTON, NY 13902	NONE	I	C1 2020-21 SCHOLARSHIP	3,652.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LONGMONT HUMANE SOCIETY 9595 NELSON ROAD LONGMONT, CO 80501-6318	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
MANITOGA PO BOX 249 GARRISON, NY 10524-3904	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
MEDECINS SANS FRONTIERS USA 40 RECTOR STREET, 16TH FLOOR NEW YORK, NY 10006	N/A	PC	GENERAL OPERATING SUPPORT	1,000,000.
MEMORIAL SLOAN-KETTERING CANCER CENTER 633 THIRD AVE FINANCE 4TH FLR NEW YORK, NY 10017-0000	N/A	PC	GENERAL OPERATING SUPPORT	1,000.
NATIONAL CENTER FOR TEACHER RESIDENCIES 1332 N HALSTED ST STE 304 CHICAGO, IL 60642-2694	N/A	PC	SACRED HEART UNIVERSITY, YEAR 3 SUPPORT	97,500.
NEW HAVEN ECOLOGY PROJECT 358 SPRINGSIDE AVE NEW HAVEN, CT 06515-1024	N/A	PC	GENERAL OPERATING SUPPORT	350,000.
NEW HOPE BAPTIST CHURCH 10 DR AARON B SAMUELS BLVD DANBURY, CT 06810-0000	N/A	PC	SENIOR MINISTRY AND FOOD SERVICES	15,000.
NEW YORK ACADEMY OF SCIENCES 250 GREENWICH STREET, 40TH FLOOR NEW YORK, NY 10007	N/A	PC	BUILDING A PIPELINE FROM SCIENCE TO TEACHING	391,500.
NEW YORK CITY CENTER FOR CHARTER SCHOOL EXCELLENCE 111 BROADWAY RM 604 NEW YORK, NY 10006-1975	N/A	PC	GENERAL OPERATING SUPPORT (\$295K) AND MERGER GRANT FUND INITIATIVE (\$400K)	695,000.
NORTHEAST CHARTER SCHOOLS NETWORK 2 TOWER PL STE 2 ALBANY, NY 12203-3726	N/A	PC	CONNECTICUT CHARTER SCHOOLS ASSOCIATION	1,000,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NORTHWEST EVALUATION ASSOCIATION 121 NW EVERETT STREET PORTLAND, OR 97209	N/A	PC	MAP LICENSES AND SERVICES FOR 2021-2022 SCHOOL YEAR	35,000.
OCEAN COMMUNITY YMCA 95 HIGH ST WESTERLY, RI 02891-1812	N/A	PC	GENERAL OPERATING SUPPORT	60.
ODYSSEY COMMUNITY SCHOOL 579 MIDDLE TURNPIKE WEST MANCHESTER, CT 06040	N/A	PC	GETTING TO GREAT	100,000.
ODYSSEY COMMUNITY SCHOOL 580 MIDDLE TURNPIKE WEST MANCHESTER, CT 06041	N/A	PC	GENERAL OPERATING SUPPORT	350,000.
ONLINE JOURNALISM PROJECT 51 ELM ST., 3RD FLOOR NEW HAVEN, CT 06510	N/A	PC	GENERAL OPERATING SUPPORT	50,000.
ORANGE COUNTY LAND TRUST 50 OGDEN DRIVE, UNIT A NEW WINDSOR, NY 12553	N/A	PC	GENERAL OPERATING SUPPORT	80,000.
OUR LADY OF FATIMA CHURCH 2071 BALDWIN ST WATERBURY, CT 06706-2604	N/A	PC	CHURCH KITCHEN RENOVATION	25,000.
PARTNERS IN HEALTH 800 BOYLSTON ST STE 300 BOSTON, MA 02199-7032	N/A	PC	SIERRA LEONE MATERNAL CENTER OF EXCELLENCE	47,000.
PHI DELTA KAPPA INTERNATIONAL 1820 N FORT MYER DRIVE, SUITE 320 ARLINGTON, VA 22209	N/A	PC	EDUCATORS RISING - CONNECTICUT	320,000.
PROPUBLICA 155 AVENUE OF THE AMERICAS, 13TH FLOOR NEW YORK, NY 10013	N/A	PC	GENERAL OPERATING SUPPORT	75,000.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
REGIONAL YMCA OF WESTERN CONNECTICUT 2 HUCKLEBERRY HILL RD BROOKFIELD, CT 06804-2219	N/A	PC	SEE STATEMENT	55,000.
RELAY GRADUATE SCHOOL OF EDUCATION 25 BROADWAY, THIRD FLOOR NEW YORK, NY 10004	N/A	PC	RELAY CONNECTICUT	300,000.
RETRO REPORT 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	N/A	POF	GENERAL OPERATING SUPPORT	50,000.
RHODE ISLAND ZOOLOGICAL SOCIETY 1000 ELMWOOD AVENUE PROVIDENCE, RI 02907-3655	N/A	PC	GENERAL OPERATING SUPPORT	90.
RIDGE AND VALLEY CONSERVANCY PO BOX 146 BLAIRSTOWN, NJ 07825-0146	N/A	PC	GENERAL OPERATING SUPPORT	250.
SACRED HEART UNIVERSITY 5151 PARK AVENUE FAIRFIELD, CT 06825	N/A	PC	PRACTICE-BASED TEACHING PROFESSIONAL DEVELOPMENT PHASE II	115,000.
SALVATION ARMY, THE 440 WEST NYACK ROAD WEST NYACK, NY 10994-1739	N/A	PC	COMMUNITY EMERGENCY ASSISTANCE PROGRAM	35,000.
SCENIC HUDSON 1 CIVIC CENTER PLZ STE 200 POUGHKEEPSIE, NY 12601-3157	N/A	PC	GENERAL OPERATING SUPPORT	500,000.
SEATTLE FOUNDATION, THE 1601 5TH AVENUE, SUITE 1900 SEATTLE, WA 98101-3615	N/A	PC	RESOURCE EQUITY FUNDERS COLLABORATIVE	50,000.
SHELBURNE POLICE FOUNDATION PO BOX 58 SHELBURNE, VT 05482	N/A	PC	GENERAL OPERATING SUPPORT	250.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SHELTER OF THE CROSS (DBA RENEWAL HOUSE) 18 DR AARON SAMUELS BLVD DANBURY, CT 06810-0000	N/A	PC	GENERAL OPERATING SUPPORT	30,000.
SISTEMA UNIVERSITARIO ANA G. MENDEZ PO BOX 2010 CAROLINA, PR 00984-2010	N/A	PC	CASA MUSEO JESS T. PIERO RESTORATION & OPERATIONS	100,000.
ST. JOSEPH CHURCH 8 ROBINSON AVENUE DANBURY, CT 06810-5517	N/A	PC	CARMEN LUCIA BUCK RELIGIOUS EDUCATION BUILDING RENOVATION	15,000.
ST. VINCENT DEPAUL MISSION OF WATERBURY P.O. BOX 1612 WATERBURY, CT 06721-1612	N/A	PC	SOUP KITCHEN OPERATIONS	5,000.
STOWE LAND TRUST PO BOX 284 STOWE, VT 05672-0284	N/A	PC	GENERAL OPERATING	250.
SUCCESS ACADEMY CHARTER SCHOOLS 95 PINE STREET, FLOOR 6 NEW YORK, NY 10005	N/A	PC	GENERAL OPERATING SUPPORT	400,000.
TEACH FOR AMERICA 25 BROADWAY 12TH FLOOR NEW YORK, NY 10004-1056	N/A	PC	TEACH FOR AMERICA - NEW YORK	400,000.
TEACH FOR AMERICA 370 JAMES STREET, SUITE 404 NEW HAVEN, CT 06513	N/A	PC	TEACH FOR AMERICA - CONNECTICUT	350,000.
TEACH.ORG 2443 FILLMORE ST #38009883 SAN FRANCISCO, CA 94115-1814	N/A	PC	GENERAL OPERATING SUPPORT	275,000.
TEACH.ORG 2443 FILLMORE ST #38009883 SAN FRANCISCO, CA 94115-1814	N/A	PC	TEACH CONNECTICUT	455,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TEXAS TECH UNIVERSITY 2625 MEMORIAL CIRCLE LUBBOCK, TX 79409	N/A	GOV	US PREP - TEACHER PREPARATION TRANSFORMATION YEAR 3	300,000.
THIRD SECTOR NEW ENGLAND 89 SOUTH STREET, STE. 7000 BOSTON, MA 02111-0000	N/A	PC	SCHOOL + STATE FINANCE PROJECT	1,550,000.
TIDES CENTER 1012 TORNEY AVE SAN FRANCISCO, CA 94129	N/A	PC	100KIN10	125,000.
TODAY'S STUDENTS, TOMORROW'S TEACHERS 333 WESTCHESTER AVENUE, SUITE SOUTH 208 WHITE PLAINS, NY 10604	N/A	PC	TODAYS STUDENTS TOMORROWS TEACHERS - CONNECTICUT	161,000.
TOWN OF WOODBURY 281 MAIN STREET SOUTH WOODBURY, CT 06798	N/A	GOV	WOODBURY SENIOR CENTER PROJECTS	10,000.
TRUST FOR PUBLIC LAND 101 WHITNEY AVENUE, 2ND FLOOR NEW HAVEN, CT 06510	N/A	PC	TRUST FOR PUBLIC LAND - CONNECTICUT	175,000.
UNCOMMON SCHOOLS 826 BROADWAY, 9TH FLOOR NEW YORK, NY 10003-4826	N/A	PC	GENERAL OPERATING SUPPORT	300,000.
UNITED WAY OF WESTERN CONNECTICUT 301 MAIN STREET NO 2-5 DANBURY, CT 06810-5861	N/A	PC	DANBURY SENIOR PROGRAMMING AND FOOD SECURITY	185,000.
UNIVERSITY OF BRITISH COLUMBIA 6388 CRESCENT ROAD VANCOUVER, BRITISH COLUMBIA, CANADA V6T 1Z2	N/A	PC	GLOBAL REPORTING CENTRE	10,000.
UNIVERSITY OF CONNECTICUT FOUNDATION 2390 ALUMNI DRIVE U3206 STORRS, CT 06269-3603	N/A	PC	NURSING SCHOLARSHIPS AND UNDERGRADUATE PSYCHOLOGY MINI-GRANT RESEARCH PROGRAM	20,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF ROCHESTER ITF ADU, PRINCESS 500 JOSEPH C. WILSON BOULEVARD ROCHESTER, NY 14627	NONE	I	C1 2020-21 SCHOLARSHIP	3,446.
UNIVERSITY OF VIRGINIA PO BOX 400194 CHARLOTTESVILLE, VA 22904-4194	N/A	PC	WTJU RADIO STATION	5,000.
WEANTINOGE HERITAGE LAND TRUST P.O. BOX 821, 5 MAPLE STREET KENT, CT 06777	N/A	PC	GENERAL OPERATING SUPPORT	100,000.
WESTCHESTER LAND TRUST 403 HARRIS ROAD BEDFORD HILLS, NY 10507	N/A	PC	GENERAL OPERATING SUPPORT	100,000.
WESTERN CONNECTICUT HOME CARE 100 SAW MILL ROAD DANBURY, CT 06810-5106	N/A	PC	SAFE AT HOME PROGRAM	40,000.
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BLVD BRONX, NY 10460-1068	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
WILLIAMS COLLEGE ITF COLUMBUS, AYANNA HOPKINS HALL 880 MAIN STREET WILLIAMSTOWN, MA 01267	NONE	I	C1 2020-21 SCHOLARSHIP	450.
WNET 825 EIGHTH AVENUE NEW YORK, NY 10019	N/A	PC	NJ SPOTLIGHT NEWS	75,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COLLINS, NYEMIAH UNIVERSITY OF NEW HAVEN WEST HAVEN, CT 06516-1916	NONE	I	C2 2021-22 SCHOLARSHIP	2,300.
COLUMBIA UNIVERSITY 615 WEST 131ST STREET, 6TH FLOOR NEW YORK, NY 10027-7922	N/A	PC	SUPPORTING AND SPREADING EDUCATION SYSTEM TRANSFORMATION	26,500.
FUNDACAO CLINICA CARMEN LUCIA RODOVIA DO SOL, 3499, BARRA DO JUCU VILA VELHA, ESPIRITO SANTO, BRAZIL 29125-033	N/A	NC	GENERAL OPERATING SUPPORT	239,422.
GAYLE, TASHARA SPELMAN COLLEGE ATLANTA, GA 30314-4395	NONE	I	C2 2021-22 SCHOLARSHIP	4,300.
GIBBS, ISAIAH UNIVERSITY AT BUFFALO, SUNY BUFFALO, NY 14260	NONE	I	C2 2021-22 SCHOLARSHIP	2,152.
HOWARD UNIVERSITY ITF SAWERS, RONELL 2244 10TH STREET ROOM 302 WASHINGTON, DC 20059-0001	NONE	I	C2 2021-22 SCHOLARSHIP	24,294.
KALINE, EMILY THE NEW SCHOOL - PARSONS SCHOOL OF DESIGN NEW YORK, NY 10011-8002	NONE	I	C2 2021-22 SCHOLARSHIP	2,600.
MEGGINSON, ELIJAH MOREHOUSE COLLEGE ATLANTA, GA 30314-3773	NONE	I	C2 2021-22 SCHOLARSHIP	2,950.
MOREHOUSE COLLEGE ITF MEGGINSON, ELIJAH 830 WESTVIEW DR SW ATLANTA, GA 30314-3773	NONE	I	C2 2021-22 SCHOLARSHIP	25,645.
NORTHWEST EVALUATION ASSOCIATION (NWEA) 121 NW EVERETT STREET PORTLAND, OR 97209	N/A	PC	MAP LICENSES AND SERVICES FOR 2021-2022 SCHOOL YEAR	109,658.
Total from continuation sheets				957,323.

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SAWERS, RONELL HOWARD UNIVERSITY WASHINGTON, DC 20059	NONE	I	C2 2021-22 SCHOLARSHIP	2,460.
SCHULTERS, KYLE BRANDEIS UNIVERSITY WALTHAM, MA 02453-2728	NONE	I	C2 2021-22 SCHOLARSHIP	1,800.
SPELMAN COLLEGE ITF GAYLE, TASHARA 350 SPELMAN LN SW ATLANTA, GA 30314-4395	NONE	I	C2 2021-22 SCHOLARSHIP	38,122.
TEACH FOR AMERICA 25 BROADWAY 12TH FLOOR NEW YORK, NY 10004-1056	N/A	PC	TEACH FOR AMERICA - NEW YORK	400,000.
THE NEW SCHOOL - PARSONS SCHOOL OF DESIGN ITF KALINE, EMILY 80 5TH AVE 4TH FL NEW YORK, NY 10011-8002	NONE	I	C2 2021-22 SCHOLARSHIP	35,828.
UNIVERSITY AT BUFFALO, SUNY ITF GIBBS, ISAIAH 12 CAPEN HALL BUFFALO, NY 14260	NONE	I	C2 2021-22 SCHOLARSHIP	11,768.
UNIVERSITY OF NEW HAVEN ITF COLLINS, NYEMIAH 300 BOSTON POST RD WEST HAVEN, CT 06516-1916	NONE	I	C2 2021-22 SCHOLARSHIP	27,524.
Total from continuation sheets				

Part XV | **Supplementary Information**

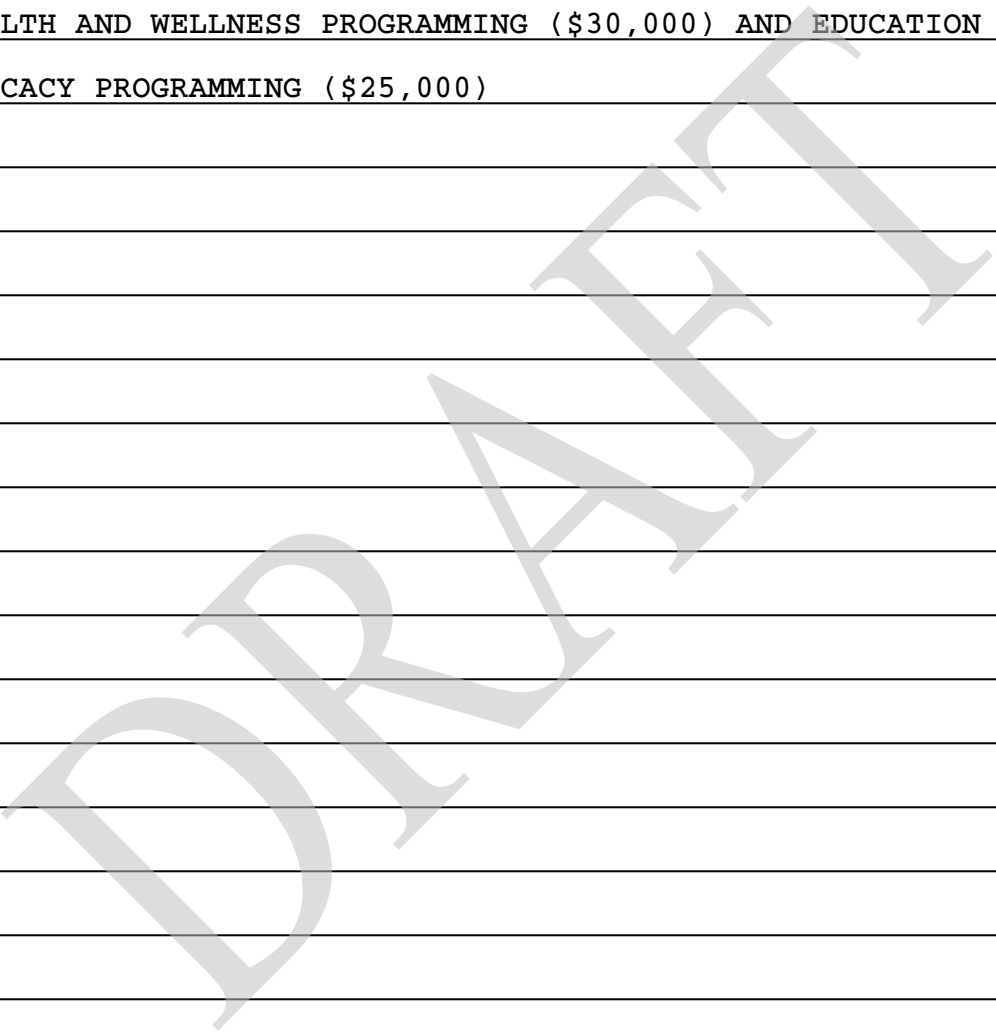
3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - COLUMBIA UNIVERSITY

BUILDING STUDENT-ORIENTED EDUCATION SYSTEMS FROM THE FAMILY OUT: GUIDES
AND TOOLS FOR FAMILIES AND FOR A REORIENTED EDUCATION ECOSYSTEM

NAME OF RECIPIENT - REGIONAL YMCA OF WESTERN CONNECTICUT

SENIOR HEALTH AND WELLNESS PROGRAMMING (\$30,000) AND EDUCATION AND
YOUTH ADVOCACY PROGRAMMING (\$25,000)



Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2020

Name of the organization

**THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.**

Employer identification number

06-1547852

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE PETER AND CARMEN LUCIA BUCK FOUNDATION, INC.	Employer identification number 06-1547852
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PETER BUCK NONGRANTOR CLAT OF 02/2011 185 ASYLUM STREET HARTFORD, CT 06103	\$ 814,912.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	PETER BUCK NONGRANTOR CLAT OF 02/2011 185 ASYLUM STREET HARTFORD, CT 06103	\$ 5,508,200.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	PETER BUCK NONGRANTOR CLAT OF 05/2019 ONE INTERNATIONAL PLACE, SUITE 1600 BOSTON, MA 02110	\$ 5,801,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	PETER BUCK NONGRANTOR CLAT OF 11/2012 ONE INTERNATIONAL PLACE, SUITE 1600 BOSTON, MA 02110	\$ 5,279,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	THE PETER BUCK TUITION ASSISTANCE TRUST AGREEMENT 185 ASYLUM STREET HARTFORD, CT 06103	\$ 76,357.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization THE PETER AND CARMEN LUCIA BUCK FOUNDATION, INC.	Employer identification number 06-1547852
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Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization THE PETER AND CARMEN LUCIA BUCK FOUNDATION, INC.	Employer identification number 06-1547852
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

Underpayment of Estimated Tax by Corporations

▶ Attach to the corporation's tax return. **FORM 990-PF**

2020

▶ Go to www.irs.gov/Form2220 for instructions and the latest information.

Name **THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.**

Employer identification number
06-1547852

Note: Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38, on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

Part I Required Annual Payment

1	Total tax (see instructions)	1	595,841.
2a	Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1	2a	
2b	Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method	2b	
2c	Credit for federal tax paid on fuels (see instructions)	2c	
2d	Total. Add lines 2a through 2c	2d	
3	Subtract line 2d from line 1. If the result is less than \$500, do not complete or file this form. The corporation does not owe the penalty	3	595,841.
4	Enter the tax shown on the corporation's 2019 income tax return. See instructions. Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5	4	219,166.
5	Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3	5	219,166.

Part II Reasons for Filing - Check the boxes below that apply. If any boxes are checked, the corporation **must** file Form 2220 even if it does not owe a penalty. See instructions.

- 6 The corporation is using the adjusted seasonal installment method.
- 7 The corporation is using the annualized income installment method.
- 8 The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

Part III Figuring the Underpayment

	(a)	(b)	(c)	(d)	
9 Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year. Filers with installments due on or after April 1, 2020, and before July 15, 2020, see instructions	9	11/15/20	12/15/20	03/15/21	06/15/21
10 Required installments. If the box on line 6 and/or line 7 above is checked, enter the amounts from Sch A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column	10	54,792.	76,398.	74,106.	345,436.
11 Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions	11	71,983.	60,000.	75,000.	350,000.
Complete lines 12 through 18 of one column before going to the next column.					
12 Enter amount, if any, from line 18 of the preceding column	12		17,191.	793.	1,687.
13 Add lines 11 and 12	13		77,191.	75,793.	351,687.
14 Add amounts on lines 16 and 17 of the preceding column	14				
15 Subtract line 14 from line 13. If zero or less, enter -0-	15	71,983.	77,191.	75,793.	351,687.
16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-	16		0.	0.	
17 Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18	17				
18 Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column	18	17,191.	793.	1,687.	

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

Part IV Figuring the Penalty

	(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. (C corporations with tax years ending June 30 and S corporations: Use 3rd month instead of 4th month. Form 990-PF and Form 990-T filers: Use 5th month instead of 4th month.) See instructions	19			
20 Number of days from due date of installment on line 9 to the date shown on line 19	20			
21 Number of days on line 20 after 4/15/2020 and before 7/1/2020	21			
22 Underpayment on line 17 x $\frac{\text{Number of days on line 21} \times 5\% (0.05)}{366}$...	22 \$	\$	\$	\$
23 Number of days on line 20 after 6/30/2020 and before 10/1/2020	23			
24 Underpayment on line 17 x $\frac{\text{Number of days on line 23} \times 3\% (0.03)}{366}$...	24 \$	\$	\$	\$
25 Number of days on line 20 after 9/30/2020 and before 1/1/2021	25			
26 Underpayment on line 17 x $\frac{\text{Number of days on line 25} \times 3\% (0.03)}{366}$...	26 \$	\$	\$	\$
27 Number of days on line 20 after 12/31/2020 and before 4/1/2021	27			
28 Underpayment on line 17 x $\frac{\text{Number of days on line 27} \times 3\% (0.03)}{365}$...	28 \$	\$	\$	\$
29 Number of days on line 20 after 3/31/2021 and before 7/1/2021	29			
30 Underpayment on line 17 x $\frac{\text{Number of days on line 29} \times \%}{365}$	30 \$	\$	\$	\$
31 Number of days on line 20 after 6/30/2021 and before 10/1/2021	31			
32 Underpayment on line 17 x $\frac{\text{Number of days on line 31} \times \%}{365}$	32 \$	\$	\$	\$
33 Number of days on line 20 after 9/30/2021 and before 1/1/2022	33			
34 Underpayment on line 17 x $\frac{\text{Number of days on line 33} \times \%}{365}$	34 \$	\$	\$	\$
35 Number of days on line 20 after 12/31/2021 and before 3/16/2022	35			
36 Underpayment on line 17 x $\frac{\text{Number of days on line 35} \times \%}{365}$	36 \$	\$	\$	\$
37 Add lines 22, 24, 26, 28, 30, 32, 34, and 36	37 \$	\$	\$	\$
38 Penalty. Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 34; or the comparable line for other income tax returns	38 \$			0.

* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at www.irs.gov. You can also call 1-800-829-4933 to get interest rate information.

Schedule A Adjusted Seasonal Installment Method and Annualized Income Installment Method

See instructions.

Form 1120-S filers: For lines 1, 2, 3, and 21, "taxable income" refers to excess net passive income or the amount on which tax is imposed under section 1374(a), whichever applies.

Part I Adjusted Seasonal Installment Method

Caution: Use this method only if the base period percentage for any 6 consecutive months is at least 70%. See instructions.

Table with 5 columns: (a) First 3 months, (b) First 5 months, (c) First 8 months, (d) First 11 months. Rows include taxable income for various periods (1a-1c, 3a-3c), calculations for percentages (4-6), tax calculations (7-10), and final tax amounts (11a-11c, 12-13, 14-15, 16-17, 18-19).

Part II ^{**} Annualized Income Installment Method

		(a)	(b)	(c)	(d)
		First <u>2</u> months	First <u>3</u> months	First <u>6</u> months	First <u>9</u> months
20	Annualization periods (see instructions)				
21	Enter taxable income for each annualization period. See instructions for the treatment of extraordinary items	3,392,414.	4,719,072.	9,846,329.	29,715,826.
22	Annualization amounts (see instructions)	6.000000	4.000000	2.000000	1.333330
23a	Annualized taxable income. Multiply line 21 by line 22	20,354,484.	18,876,288.	19,692,658.	39,621,002.
23b	Extraordinary items (see instructions)				
23c	Add lines 23a and 23b	20,354,484.	18,876,288.	19,692,658.	39,621,002.
24	Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 2, or comparable line of corporation's return	282,927.	262,380.	273,728.	550,732.
25	Enter any alternative minimum tax (trusts only) for each payment period (see instructions)				
26	Enter any other taxes for each payment period. See instr.				
27	Total tax. Add lines 24 through 26	282,927.	262,380.	273,728.	550,732.
28	For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions				
29	Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0-	282,927.	262,380.	273,728.	550,732.
30	Applicable percentage	25%	50%	75%	100%
31	Multiply line 29 by line 30	70,732.	131,190.	205,296.	550,732.

Part III Required Installments

		1st installment	2nd installment	3rd installment	4th installment
Note: Complete lines 32 through 38 of one column before completing the next column.					
32	If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are completed, enter the smaller of the amounts in each column from line 19 or line 31	70,732.	131,190.	205,296.	550,732.
33	Add the amounts in all preceding columns of line 32. See instructions		54,792.	131,190.	205,296.
34	Adjusted seasonal or annualized income installments. Subtract line 33 from line 32. If zero or less, enter -0-	70,732.	76,398.	74,106.	345,436.
35	Enter 25% (0.25) of line 5 on page 1 of Form 2220 in each column. Note: "Large corporations," see the instructions for line 10 for the amounts to enter	54,792.	243,129.	148,960.	148,961.
36	Subtract line 38 of the preceding column from line 37 of the preceding column			166,731.	241,585.
37	Add lines 35 and 36	54,792.	243,129.	315,691.	390,546.
38	Required installments. Enter the smaller of line 34 or line 37 here and on page 1 of Form 2220, line 10. See instructions	54,792.	76,398.	74,106.	345,436.

**** ANNUALIZED INCOME INSTALLMENT METHOD USING STANDARD OPTION**

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED PURCHASED	DATE ACQUIRED	DATE SOLD	(F) GAIN OR LOSS
SALE OF PUBLICLY TRADED SECURITIES	125,232,690.	96,572,172.	0.		0.		28,660,518.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DONATED	DATE ACQUIRED	DATE SOLD	(F) GAIN OR LOSS
SALE OF DONATED SECURITIES	5,701,587.	2,238,812.	0.		0.		3,462,775.

CAPITAL GAINS DIVIDENDS FROM PART IV							836,456.
TOTAL TO FORM 990-PF, PART I, LINE 6A							32,959,749.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA MONEY MARKET INTEREST	5,381.	5,381.	
MORGAN STANLEY MONEY MARKET	32.	32.	
NORTHERN TRUST MONEY MARKET INTEREST	189.	189.	
TOTAL TO PART I, LINE 3	5,602.	5,602.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS AND INTEREST FROM SECURITIES	11,111,424.	836,456.	10,274,968.	10,274,968.	
TO PART I, LINE 4	11,111,424.	836,456.	10,274,968.	10,274,968.	

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
PROGRAMMATIC RENTAL INCOME	110,038.	110,038.	
TOTAL TO FORM 990-PF, PART I, LINE 11	110,038.	110,038.	

FORM 990-PF LEGAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MICHAEL A. NEUFELD & ASSOC., LLC	95,000.	0.		95,000.
TO FM 990-PF, PG 1, LN 16A	95,000.	0.		95,000.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANA CLAUDIA SIMONES LIMA	1,000.	0.		1,000.
DEMASCO SENA AND JAHELKA	27,935.	0.		27,935.
HOYT, FILIPPETTI & MALAGHAN, LLC	225.	0.		225.
PKF O'CONNOR DAVIES, LLP	50,985.	0.		50,985.
TO FORM 990-PF, PG 1, LN 16B	80,145.	0.		80,145.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANA CLAUDIA SIMONES LIMA - ABRAS CONSULTING	15,000.	0.		15,000.
BANK OF AMERICA PRIVATE BANK - INVESTMENT MANAGEMENT FEES	274,143.	274,143.		0.
MORGAN STANLEY - INVESTMENT MANAGEMENT FEES	59,515.	59,515.		0.
NORTHERN TRUST - INVESTMENT MANAGEMENT FEES	364,712.	364,712.		0.
TO FORM 990-PF, PG 1, LN 16C	713,370.	698,370.		15,000.

FORM 990-PF

TAXES

STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	485,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	485,000.	0.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 9

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
IT SUPPORT	72.	0.		72.
OFFICE AND OTHER EXPENSES	9,434.	0.		9,434.
OFFICE CONSTRUCTION	2,463.	0.		2,463.
PAYROLL PROCESSING	2,720.	0.		2,720.
POSTAGE	663.	0.		663.
PROFESSIONAL DEVELOPMENT	400.	0.		400.
SOFTWARE	44,632.	0.		44,632.
STATE FILING FEES	1,575.	0.		1,575.
WEBSITE DESIGN AND MAINTENANCE	14,725.	0.		14,725.
INTERNET AND TELEPHONE	12,429.	0.		12,429.
DUES & SUBSCRIPTIONS	21,901.	0.		21,901.
FURNITURE & EQUIPMENT	10,151.	0.		10,151.
INSURANCE	31,112.	0.		31,112.
TO FORM 990-PF, PG 1, LN 23	152,277.	0.		152,277.

FORM 990-PF

OTHER INCREASES IN NET ASSETS OR FUND BALANCES

STATEMENT 10

DESCRIPTION	AMOUNT
CHANGE IN UNREALIZED GAIN ON INVESTMENTS	78,897,406.
TOTAL TO FORM 990-PF, PART III, LINE 3	78,897,406.

FORM 990-PF

U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT 11

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
FANNIE MAE BNDDS	X		511,511.	511,511.
FEDERAL HOME LN BKS	X		504,148.	504,148.
FEDERAL HOME LOAN BANKS	X		528,373.	528,373.
FHLB TRANCHE	X		503,746.	503,746.
FHLMC PREASSIGN	X		506,120.	506,120.
FNMA TRANCHE	X		524,207.	524,207.
FNMA TRANCHE	X		504,895.	504,895.
GNMA POOL	X		35,000.	35,000.
UNITED STATES TREAS BILL	X		82,587,385.	82,587,385.
US TREASURY	X		47,464,911.	47,464,911.
TOTAL U.S. GOVERNMENT OBLIGATIONS			133,670,296.	133,670,296.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			133,670,296.	133,670,296.

FORM 990-PF

CORPORATE STOCK

STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
ABBVIE INC	1,189,478.	1,189,478.
AIR PRODS & CHEMS INC	575,360.	575,360.
ALPHABET	4,844,717.	4,844,717.
ALPHABET	2,710,387.	2,710,387.
ALPHABET INC	4,183,048.	4,183,048.
AMAZON	9,883,580.	9,883,580.
AMAZON COM INC	1,014,847.	1,014,847.
AMERICAN EXPRESS CO	1,167,019.	1,167,019.
AMERICAN WTR WKS CO	4,233,335.	4,233,335.
AMERICAN WTR WKS CO INC	913,683.	913,683.
AMGEN INC	954,281.	954,281.
ANALOG DEVICES INC	1,001,971.	1,001,971.
APPLE	9,618,427.	9,618,427.
APPLE INC	5,499,081.	5,499,081.

BAXTER	3,892,417.	3,892,417.
BERKSHIRE HATHAWAY INC DEL	1,553,573.	1,553,573.
BLACKROCK	3,550,628.	3,550,628.
BLACKROCK INC	2,082,429.	2,082,429.
BLACKSTONE GROUP INC	1,367,731.	1,367,731.
BOEING	3,613,763.	3,613,763.
CATERPILLAR INC	1,193,701.	1,193,701.
CHEVRON	2,735,180.	2,735,180.
CHEVRON CORP	882,958.	882,958.
CHURCH & DWIGHT INC	515,155.	515,155.
CIGNA CORP NEW	1,073,927.	1,073,927.
CISCO SYS INC	2,509,603.	2,509,603.
CITIGROUP INC	1,985,599.	1,985,599.
COMCAST CORP	1,140,400.	1,140,400.
COSTCO WHSL CORP NEW	611,310.	611,310.
CSX CORP	675,124.	675,124.
CVS HEALTH CORP	1,466,625.	1,466,625.
DANAHER CORP	4,390,906.	4,390,906.
DEERE & CO	4,547,843.	4,547,843.
DISNEY WALT CO	739,992.	739,992.
EATON CORP PLC	1,069,860.	1,069,860.
ELECTRONIC ARTS INC	431,490.	431,490.
EXXON MOBIL CORP	715,958.	715,958.
FACEBOOK	6,339,449.	6,339,449.
FACEBOOK INC	884,922.	884,922.
GENERAL MTRS CO	916,839.	916,839.
GOLDMAN SACHS GROUP INC	1,831,232.	1,831,232.
HOME DEPOT	4,018,014.	4,018,014.
HOME DEPOT INC	1,068,282.	1,068,282.
HONEYWELL INTL INC	1,693,382.	1,693,382.
ILLINOIS TOOL WKS INC	989,253.	989,253.
INTEL CORP	976,836.	976,836.
J P MORGAN CHASE & CO	1,602,684.	1,602,684.
JOHNSON & JOHNSON	1,890,556.	1,890,556.
JPMORGAN CHASE	5,419,014.	5,419,014.
L3HARRIS TECHNOLOGIES INC	1,082,912.	1,082,912.
LAUDER ESTEE COS INC	644,112.	644,112.
MASTERCARD	7,666,890.	7,666,890.
MASTERCARD INC	5,239,042.	5,239,042.
MERCK & CO INC	1,104,334.	1,104,334.
MICROSOFT	9,959,097.	9,959,097.
MICROSOFT CORP	3,501,924.	3,501,924.
MONDELEZ	2,893,282.	2,893,282.
MONDELEZ INTL INC	518,564.	518,564.
MORGAN STANLEY	1,305,207.	1,305,207.
NIKE	5,092,917.	5,092,917.
NIKE INC	1,170,571.	1,170,571.
NORFOLK	4,750,839.	4,750,839.
NVIDIA	2,927,566.	2,927,566.
ORGANON & CO	42,969.	42,969.
OTIS WORLDWIDE CORP	1,161,134.	1,161,134.
PAYPAL HOLDINGS INC	4,880,833.	4,880,833.
PEPSI	1,816,564.	1,816,564.
PEPSICO INC	601,570.	601,570.
PHILLIPS 66	632,064.	632,064.
PROCTOR & GAMBLE	3,188,126.	3,188,126.
RAYTHEON TECHNOLOGIES	2,878,957.	2,878,957.
ROCKWELL AUTOMATION INC	1,149,800.	1,149,800.

SALESFORCE	6,941,421.	6,941,421.
SALESFORCE COM INC	692,505.	692,505.
STARBUCKS	3,050,512.	3,050,512.
STARBUCKS CORP	967,157.	967,157.
STRYKER	2,646,129.	2,646,129.
STRYKER CORP	1,405,139.	1,405,139.
TARGET CORP	1,124,091.	1,124,091.
TEXAS INSTRS INC	1,550,900.	1,550,900.
TJX COS INC NEW	912,867.	912,867.
UNION PAC CORP	931,404.	931,404.
UNITED PARCEL SVC INC	1,602,617.	1,602,617.
UNITEDHEALTH GROUP	6,807,480.	6,807,480.
UNITEDHEALTH GROUP INC	856,942.	856,942.
US BANCORP	2,749,543.	2,749,543.
VULCAN MATLS CO	687,577.	687,577.
WALMART	1,779,954.	1,779,954.
WALT DISNEY	5,677,359.	5,677,359.
ZOETIS INC	1,847,759.	1,847,759.
TOTAL TO FORM 990-PF, PART II, LINE 10B	224,606,479.	224,606,479.

FORM 990-PF

CORPORATE BONDS

STATEMENT 13

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
3M	625,106.	625,106.
ABBOTT LABS	490,537.	490,537.
ABBOTT LABS	711,433.	711,433.
ACE INA HLDG INC	1,621,949.	1,621,949.
ALLSTATE CORP	477,820.	477,820.
AMAZON	488,757.	488,757.
AMERICAN EXPRESS	331,868.	331,868.
AMERN HONDA	843,609.	843,609.
APPLE 1.2%	246,425.	246,425.
APPLE 2.2%	519,819.	519,819.
BANK NEW YORK MELLON CORP	493,825.	493,825.
BERKSHIRE HATHAWAY	1,018,261.	1,018,261.
BK NEW YORK MELLON	1,077,422.	1,077,422.
BLACKROCK	554,347.	554,347.
BP CAP MKTS	416,497.	416,497.
BRISTOL MYERS	372,083.	372,083.
CATERPILLAR	753,793.	753,793.
CHEVRON	1,094,512.	1,094,512.
CHUBB INA HLDGS	475,591.	475,591.
CISCO SYS	773,310.	773,310.
CME GROUP	1,070,887.	1,070,887.
COCA COLA	245,633.	245,633.
COMCAST CORP	763,343.	763,343.
COMCAST CORP NEW	1,626,660.	1,626,660.
COSTCO WHOLESALE	246,736.	246,736.
DEERE JOHN	744,225.	744,225.
DEERE JOHN CAP CORP	1,039,540.	1,039,540.
EMERSON	1,024,619.	1,024,619.
EOG RES	588,361.	588,361.

ESTEE LAUDER COMPANIES	731,766.	731,766.
EXXON MOBIL	1,085,667.	1,085,667.
GEN DYNAMICS	340,279.	340,279.
GOLDMAN SACHS GROUP INC	1,250,275.	1,250,275.
HERSHEY CO	531,047.	531,047.
HOME DEPOT	487,473.	487,473.
HOME DEPOT	547,246.	547,246.
HONEYWELL	509,219.	509,219.
HORMEL FOODS	247,969.	247,969.
INTEL	526,236.	526,236.
INTEL	103,505.	103,505.
INTEL CORP	2,069,920.	2,069,920.
INTERCONTINENTAL	489,274.	489,274.
INTERCONTINENTAL	562,861.	562,861.
INTL BUSINESS	1,012,834.	1,012,834.
INTUIT	501,019.	501,019.
JOHNSON & JOHNSON	1,092,697.	1,092,697.
JPMORGAN CHASE	1,076,077.	1,076,077.
JPMORGAN CHASE	130,640.	130,640.
JPMORGAN CHASE & CO	2,042,400.	2,042,400.
JPMORGAN CHASE & CO	499,080.	499,080.
MASTERCARD	545,726.	545,726.
MCDONALDS	500,788.	500,788.
MEDTRONIC INC	345,949.	345,949.
MERCK & CO INC NEW	2,025,940.	2,025,940.
MERCK & CO INC NEW	1,065,680.	1,065,680.
METLIFE	535,039.	535,039.
METLIFE INC	1,082,070.	1,082,070.
METLIFE INC UNSECD SR COMPONENT	2,077,560.	2,077,560.
MICROSOFT	708,023.	708,023.
MORGAN STANLEY	1,636,795.	1,636,795.
NUCOR CORP	440,007.	440,007.
ORACLE	803,976.	803,976.
P&G	545,226.	545,226.
PACCAR FINL CORP	1,997,100.	1,997,100.
PEPSICO INC	1,067,880.	1,067,880.
PFIZER	201,788.	201,788.
PHILIP MORRIS	538,305.	538,305.
PNC	483,940.	483,940.
PNC BK N A PITTSBURGH PA UNSECD	1,007,210.	1,007,210.
PPL ELEC UTILS CORP	27,040.	27,040.
PROCTER & GAMBLE CO	1,012,770.	1,012,770.
PROCTER & GAMBLE CO	1,008,070.	1,008,070.
PRUDENTIAL FINL	253,794.	253,794.
PRUDENTIAL FINL	507,903.	507,903.
PUBLIC STORAGE	204,015.	204,015.
S&P GLOBAL	473,257.	473,257.
SCHWAB CHARLES	327,343.	327,343.
SCHWAB CHARLES CORP NEW	2,501,850.	2,501,850.
SIMON PPTY GROUP	547,220.	547,220.
ST STR CORP	472,838.	472,838.
STATE STR CORP	1,041,395.	1,041,395.
TARGET	954,803.	954,803.
TOYOTA	1,041,649.	1,041,649.
TRUIST FINL CORP	252,744.	252,744.
UNION PAC CORP	533,469.	533,469.
UNITEDHEALTH GROUP	804,405.	804,405.
UNITEDHEALTH GROUP INC	1,664,820.	1,664,820.

US BANCORP	240,942.	240,942.
US BANKCORP MEDIUM TERM	482,208.	482,208.
VISA	648,627.	648,627.
VISA INC	774,930.	774,930.
WALMART	1,138,344.	1,138,344.
WELLS FARGO & CO MEDIUM TERM	1,558,470.	1,558,470.
WELLS FARGO & CO TRANCHE	314,489.	314,489.
ORACLE CORP	2,000,880.	2,000,880.
TOTAL TO FORM 990-PF, PART II, LINE 10C	74,971,729.	74,971,729.

FORM 990-PF	OTHER INVESTMENTS		STATEMENT 14
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BANK MONTREAL	FMV	1,070,921.	1,070,921.
BANK NOVA SCOTIA	FMV	718,201.	718,201.
BANK NOVA SCOTIA B C	FMV	2,000,800.	2,000,800.
BLACKROCK INFLAT PROT BOND I (BPRIX)	FMV	1,320,540.	1,320,540.
BLACKROCK LOW DUR BD INV INST (BFMSX)	FMV	4,094,071.	4,094,071.
BNP PARIBAS TRANCHE	FMV	523,876.	523,876.
CAUSEWAY INTL VALUE INSTL (CIVIX)	FMV	1,534,204.	1,534,204.
CDN IMPERIAL BK	FMV	715,773.	715,773.
CREDIT SUISSE AG NEW YORK BRH	FMV	2,013,680.	2,013,680.
DBX ETF TR XTRACK MSCI EURP (DBEU)	FMV	1,355,020.	1,355,020.
DELAWARE INV SM CAP VAL INST (DEVIX)	FMV	1,219,724.	1,219,724.
DIAGEO CAP PLC	FMV	414,747.	414,747.
EVINCOME FUND OF BOSTON I (EIBIX)	FMV	599,284.	599,284.
FRANKLIN FTLG RATE DAILY ACCESS	FMV	2,901,860.	2,901,860.
GLAXOSMITHKLINE	FMV	572,905.	572,905.
HARDINGLOEVNER EMERGMKTS ADV (HLEMV)	FMV	1,536,001.	1,536,001.
HARTFORD MIDCAP I (HFMIX)	FMV	1,095,986.	1,095,986.
HSBC HLDGS	FMV	410,731.	410,731.
HSBC HLDGS PLC	FMV	1,518,405.	1,518,405.
INVESCO INTL GROWTH Y(OIGYX)	FMV	1,636,472.	1,636,472.
ISHARES CORE S&P MID CAP ETF	FMV	27,499,141.	27,499,141.
ISHARES CORE S&P SMALL-CAP	FMV	20,886,613.	20,886,613.
ISHARES IBOXX \$ HIGH YIELD CORP	FMV	770,878.	770,878.
ISHARES SHORT TREASURY BD ETF (SHIV)	FMV	902,261.	902,261.
JPMORGAN SMALL CAP BLEND I (JDSCX)	FMV	458,881.	458,881.
JPMORGAN VALUE ADVANTAGE I (JVASX)	FMV	5,071,959.	5,071,959.
LLOYDS BKG GROUP PLC	FMV	1,521,840.	1,521,840.
MAINSTAYWINSLOWLWCP GWI (MLAIX)	FMV	4,888,553.	4,888,553.
MATTHEWS JAPAN INSTL (MIJFX)	FMV	1,335,463.	1,335,463.
METROPOLITAN WEST TOT RET BD I (MWTIX)	FMV	4,032,184.	4,032,184.
MFB NORTHERN FDS GLOBAL REAL ESTATE	FMV	3,303,811.	3,303,811.
MFB NORTHERN FUNDS SMALL CAP INDEX	FMV		
FD		6,324,865.	6,324,865.

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MFC FLEXSHARES TR IBOXX 3 YR TARGET DURATION TIPS INDEX FD	FMV	3,087,701.	3,087,701.
MFC FLEXSHARES TR IBOXX 5 YR TARGET DURATION TIPS INDEX FD	FMV	3,803,954.	3,803,954.
MFC FLEXSHARES TR STOXX GLOBAL BROAD INFRASTRUCTURE INDEX FD	FMV	3,119,759.	3,119,759.
MFC VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF	FMV	12,659,770.	12,659,770.
MFC VANGUARD INTL EQUITY INDEX FDS VANGUARD FTSE ALL WORLD EX US ETF	FMV	15,859,354.	15,859,354.
MFO PIMCO FDS PAC INVT MGMT SER	FMV	2,272,359.	2,272,359.
MITSUBISHI UFJ FINL GROUP	FMV	568,446.	568,446.
NATIONAL AUSTRALIA BK LTD ACTING	FMV	2,045,880.	2,045,880.
NUANCE MID CAP VALUE INSTNL (NMVLX)	FMV	1,011,359.	1,011,359.
PIMCO INTL BD USD HEDGED I2 (PFBPX)	FMV	916,325.	916,325.
PROLOGIS INC	FMV	717,180.	717,180.
ROYAL BK CDA GLOBAL MEDIUM	FMV	1,993,720.	1,993,720.
ROYAL BK OF CANADA	FMV	255,415.	255,415.
SANOFI S A FIXED	FMV	569,932.	569,932.
SHELL INTL FIN	FMV	684,453.	684,453.
SPDR S&P MICCAP 400 ETF TRUST	FMV	3,150,320.	3,150,320.
SUMITOMO MITSUI	FMV	1,057,127.	1,057,127.
TORONTO DOMINION BK	FMV	2,117,100.	2,117,100.
TORONTO DOMINION BK SR MED TERM	FMV	797,217.	797,217.
VANGUARD FTSE DEVELOPED MARKETS	FMV	24,371,845.	24,371,845.
VANGUARD FTSE EMERGING MKTS ETF	FMV	9,596,849.	9,596,849.
VANGUARD SMALL CAP VALUE ETF	FMV	2,120,389.	2,120,389.
WESTPAC BKG CORP	FMV	1,533,675.	1,533,675.
WESTPAC BKG CORP	FMV	1,037,220.	1,037,220.
WESTPAC BKG CORP	FMV	429,321.	429,321.
TOTAL TO FORM 990-PF, PART II, LINE 13		200,026,320.	200,026,320.

FORM 990-PF

OTHER ASSETS

STATEMENT 15

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROGRAM RELATED INVESTMENTS	11,746,253.	10,646,253.	10,646,253.
TO FORM 990-PF, PART II, LINE 15	11,746,253.	10,646,253.	10,646,253.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 16

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CHRISTOPHER BUCK 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	PRESIDENT/DIRECTOR 20.00	25,000.	26,391.	0.
WILLIAM BUCK 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	TREASURER/DIRECTOR 20.00	25,000.	26,391.	0.
MICHAEL BUCK 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	SECRETARY/DIRECTOR 20.00	25,000.	275.	0.
VERA LOURENCO 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	DIRECTOR 20.00	25,000.	20,745.	0.
D. BEN BENOIT 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	EXECUTIVE DIRECTOR 30.00	240,009.	9,959.	0.
SAMUEL BUCK 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	DIRECTOR 20.00	25,000.	8,449.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		365,009.	92,210.	0.

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 17

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, ESPIRITO SANTO, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	03/17/20	150,000.

PURPOSE OF GRANT

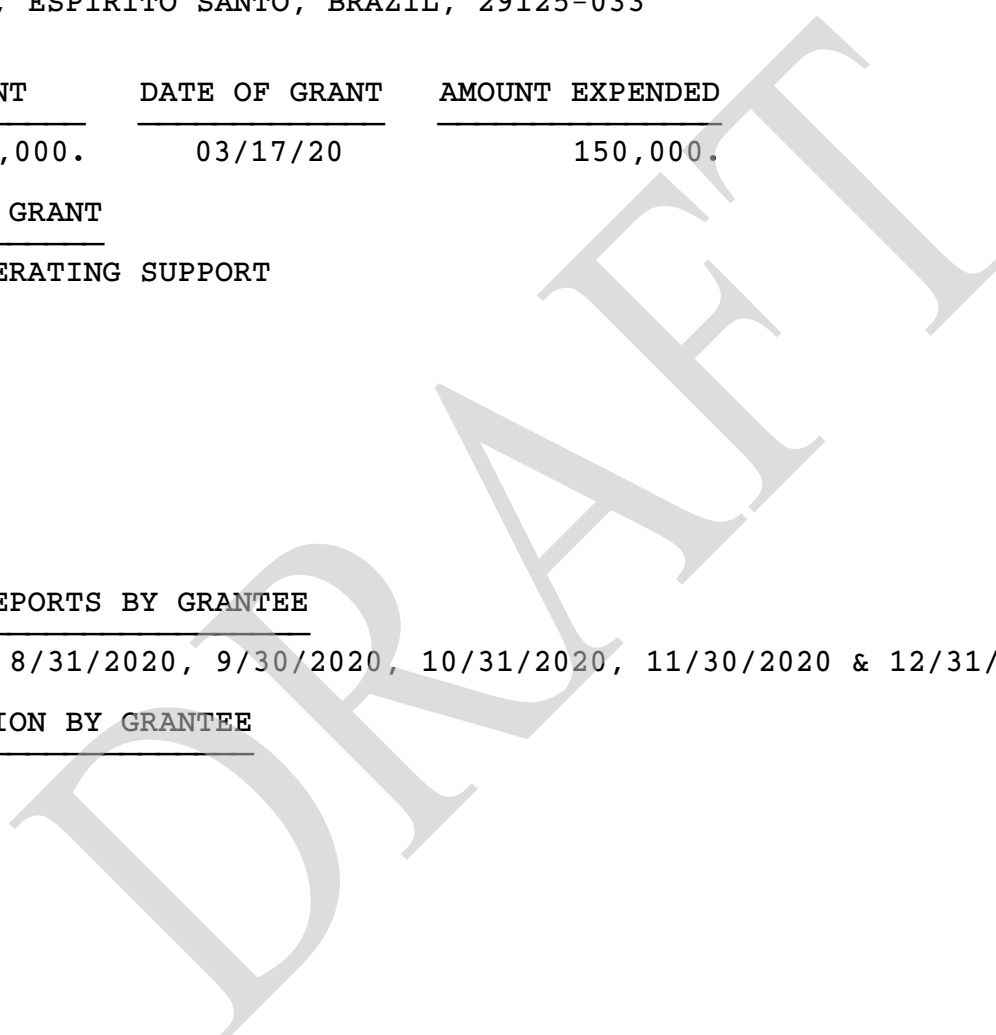
GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

7/31/2020, 8/31/2020, 9/30/2020, 10/31/2020, 11/30/2020 & 12/31/2020

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

ASSOCIAO BENEFICENTE DE RECUPERA0 DA ALEGRIA E SADE (ABRAS)

GRANTEE'S ADDRESS

AVENIDA GETLIO VARGAS, 149, CENTRO
MANTENA, MINAS GERAIS, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
86,590.	04/10/20	86,590.

PURPOSE OF GRANT

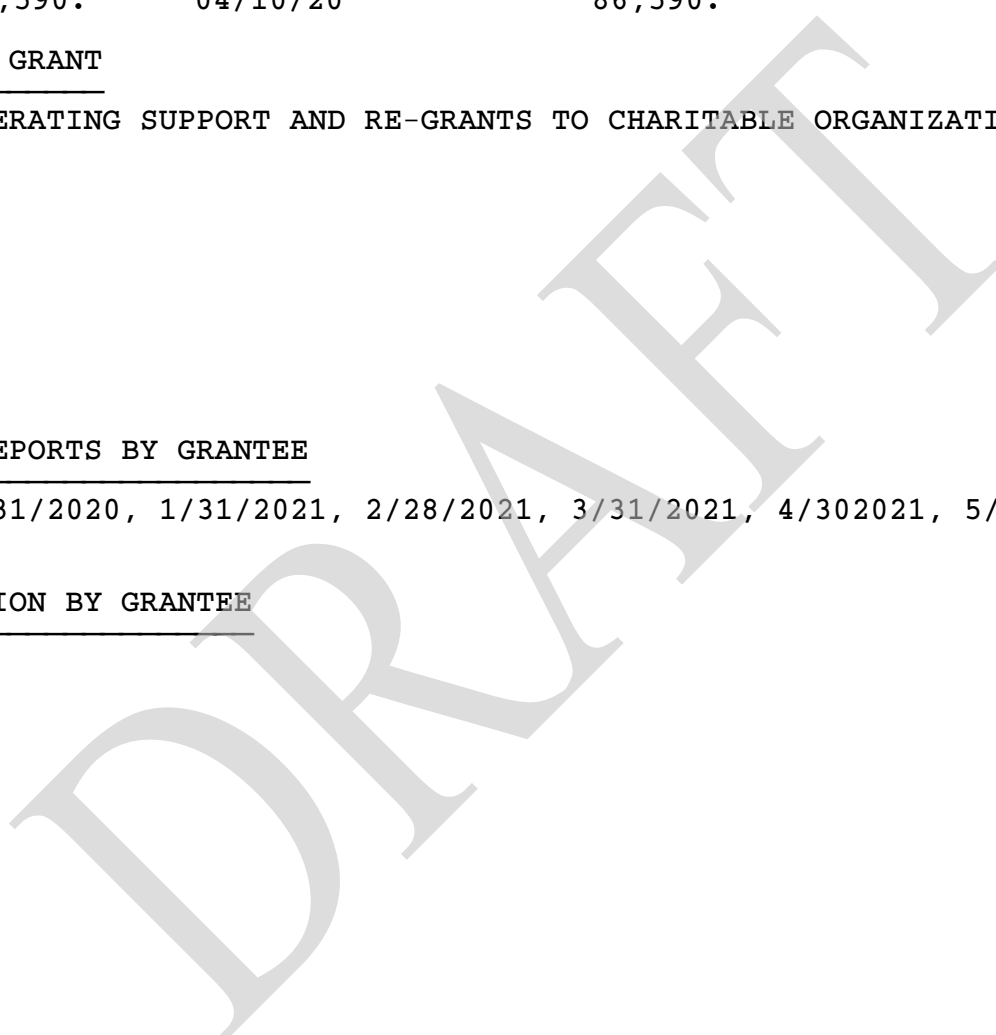
GENERAL OPERATING SUPPORT AND RE-GRANTS TO CHARITABLE ORGANIZATIONS IN BRAZIL

DATES OF REPORTS BY GRANTEE

PRIOR+ 12/31/2020, 1/31/2021, 2/28/2021, 3/31/2021, 4/302021, 5/31/2021 & 6/30/2021

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

ART SCIENCE RESEARCH LABORATORY, INC.

GRANTEE'S ADDRESS

62 GREENE STREET, 3RD FLOOR
NEW YORK, NY 10012-4346

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
10,000.	04/28/20	10,000.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2021

ANY DIVERSION BY GRANTEE

NO

DRAFT

GRANTEE'S NAME

RETRO REPORT, INC.

GRANTEE'S ADDRESS

7 MASONS ISLAND RD STE 1
MYSTIC, CT 06355-2938

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	04/28/20	50,000.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2021

ANY DIVERSION BY GRANTEE

NO

DRAFT

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, ESPIRITO SANTO, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
193,841.	07/08/20	193,841.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

7/31/2020, 8/31/2020, 9/30/2020, 10/31/2020, 11/30/2020 & 12/31/2020

ANY DIVERSION BY GRANTEE

NO

DRAFT

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, ESPIRITO SANTO, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
227,747.	01/22/21	227,747.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2021, 2/28/2021, 3/31/2021, 4/30/2021, 5/31/2021 & 6/30/2021

ANY DIVERSION BY GRANTEE

NO

DRAFT

GRANTEE'S NAME

ASSOCIAO BENEFICENTE DE RECUPERA0 DA ALEGRIA E SADE (ABRAS)

GRANTEE'S ADDRESS

AVENIDA GETLIO VARGAS, 149, CENTRO
MANTENA, MINAS GERAIS, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
84,412.	04/16/21	65,828.

PURPOSE OF GRANT

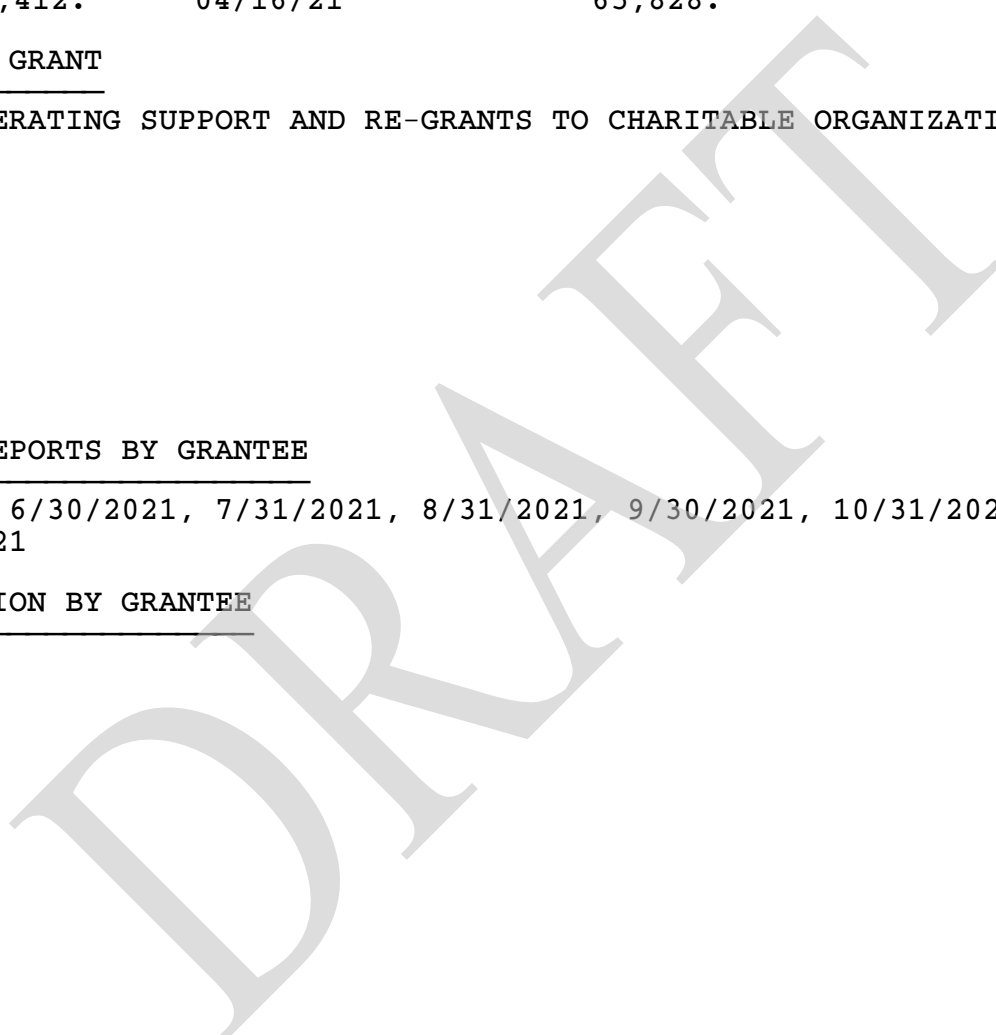
GENERAL OPERATING SUPPORT AND RE-GRANTS TO CHARITABLE ORGANIZATIONS IN BRAZIL

DATES OF REPORTS BY GRANTEE

5/31/2021, 6/30/2021, 7/31/2021, 8/31/2021, 9/30/2021, 10/31/2021, 11/30/2021 & 12/31/2021

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

ART SCIENCE RESEARCH LABORATORY, INC.

GRANTEE'S ADDRESS

62 GREENE STREET, 3RD FLOOR
NEW YORK, NY 10012-4346

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
10,000.	05/24/21	0.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2022

ANY DIVERSION BY GRANTEE

NO

DRAFT

GRANTEE'S NAME

RETRO REPORT, INC.

GRANTEE'S ADDRESS

7 MASONS ISLAND RD STE 1
MYSTIC, CT 06355-2938

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	05/27/21	0.

PURPOSE OF GRANT

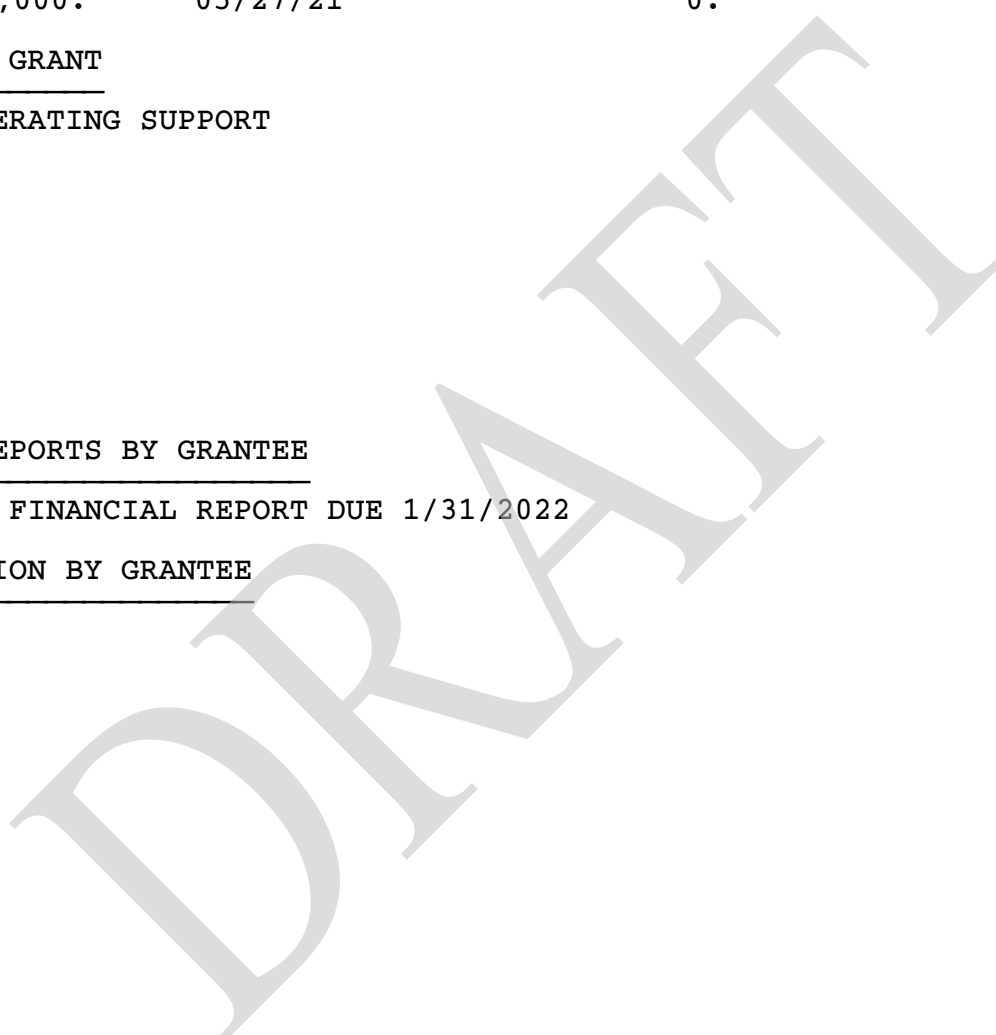
GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2022

ANY DIVERSION BY GRANTEE

NO



DESCRIPTION

IN ACCORDANCE WITH THE PROGRAMS AND POLICIES OF THE FOUNDATION TO SUPPORT LAND CONSERVATION, DURING THE FISCAL YEAR ENDED JUNE 30, 2021, \$550,000 WAS LOANED TO DUTCHESS LAND CONSERVANCY, INC. FOR THE PURPOSE OF ACQUIRING A CONSERVATION EASEMENT ON APPROXIMATELY 153.53 ACRES OF PROPERTY LOCATED IN THE TOWN OF PINE PLAINS, COUNTY OF DUTCHESS, STATE OF NEW YORK. THE NOTE HAS AN INTEREST RATE OF 0% PER ANNUM. THE TERM OF THE LOAN IS FIVE YEARS OR UPON THE SALE OR DISPOSAL OF ALL OR ANY PORTION OF THE PROPERTY. AS OF JUNE 30, 2021, THE OUTSTANDING BALANCE ON THE LOAN IS \$550,000.

TO FORM 990-PF, PART IX-B, LINE 1

AMOUNT

550,000.

DRAFT