

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2019 or tax year beginning **JUL 1, 2019**, and ending **JUN 30, 2020**

Name of foundation THE PETER AND CARMEN LUCIA BUCK FOUNDATION, INC.		A Employer identification number 06-1547852
Number and street (or P.O. box number if mail is not delivered to street address) 633 THIRD AVENUE, 16TH FLOOR	Room/suite	B Telephone number (212) 360-6173
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10017		C If exemption application is pending, check here ... <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ... <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 576,876,058.	J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input checked="" type="checkbox"/> Other (specify) MODIFIED CASH (Part I, column (d), must be on cash basis.)	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ... <input type="checkbox"/>		

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	13,996,140.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	264,734.	264,734.		STATEMENT 2
	4 Dividends and interest from securities	11,374,657.	11,374,657.		STATEMENT 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<658,291.>			STATEMENT 1
	b Gross sales price for all assets on line 6a	130,916,290.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income	109,883.	103,510.		STATEMENT 4	
12 Total. Add lines 1 through 11	25,087,123.	11,742,901.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	344,584.	80,875.		263,709.
	14 Other employee salaries and wages	871,836.	2,984.		868,852.
	15 Pension plans, employee benefits	352,302.	19,255.		333,047.
	16a Legal fees STMT 5	70,000.	0.		70,000.
	b Accounting fees STMT 6	79,912.	0.		79,912.
	c Other professional fees STMT 7	696,149.	681,149.		15,000.
	17 Interest				
	18 Taxes STMT 8	160,336.	336.		0.
	19 Depreciation and depletion				
	20 Occupancy	173,456.	0.		173,456.
	21 Travel, conferences, and meetings	25,314.	0.		25,314.
	22 Printing and publications				
	23 Other expenses STMT 9	174,693.	0.		174,693.
	24 Total operating and administrative expenses. Add lines 13 through 23	2,948,582.	784,599.		2,003,983.
	25 Contributions, gifts, grants paid	25,615,397.			25,615,397.
26 Total expenses and disbursements. Add lines 24 and 25	28,563,979.	784,599.		27,619,380.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements ...	<3,476,856.>				
b Net investment income (if negative, enter -0-)		10,958,302.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only.		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	500.	500.	500.
	2 Savings and temporary cash investments	24,207,117.	25,990,637.	25,990,637.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 11	88,426,378.	107,690,912.	107,690,912.
	b Investments - corporate stock STMT 12	167,454,978.	182,210,327.	182,210,327.
	c Investments - corporate bonds STMT 13	102,527,545.	85,456,521.	85,456,521.
	11 Investments - land, buildings, and equipment: basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 14	162,618,717.	148,017,689.	148,017,689.	
14 Land, buildings, and equipment: basis ▶ 15,763,219.				
Less: accumulated depreciation ▶	15,763,219.	15,763,219.	15,763,219.	
15 Other assets (describe ▶ PROGRAM RELATED INV)	10,346,253.	11,746,253.	11,746,253.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	571,344,707.	576,876,058.	576,876,058.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions	571,344,707.	576,876,058.	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances	571,344,707.	576,876,058.		
30 Total liabilities and net assets/fund balances	571,344,707.	576,876,058.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	571,344,707.
2 Enter amount from Part I, line 27a	2	<3,476,856.>
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 10	3	9,008,207.
4 Add lines 1, 2, and 3	4	576,876,058.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	576,876,058.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SALE OF PUBLICLY TRADED SECURITIES	P		
b SALE OF DONATED SECURITIES	D		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 129,614,700.		129,956,912.	<342,212.>
b 1,301,590.		1,456,163.	<154,573.>
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<342,212.>
b			<154,573.>
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<496,785.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	35,918,466.	527,387,106.	.068106
2017	24,784,247.	519,878,480.	.047673
2016	23,421,724.	474,129,873.	.049399
2015	26,927,158.	433,358,189.	.062136
2014	35,486,472.	420,391,470.	.084413

2 Total of line 1, column (d)	2	.311727
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.062345
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	542,670,609.
5 Multiply line 4 by line 3	5	33,832,799.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	109,583.
7 Add lines 5 and 6	7	33,942,382.
8 Enter qualifying distributions from Part XII, line 4	8	30,119,380.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	219,166.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	219,166.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	219,166.
6 Credits/Payments:			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	291,149.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	291,149.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	71,983.	
11 Enter the amount of line 10 to be: Credited to 2020 estimated tax <input type="checkbox"/> Refunded <input checked="" type="checkbox"/>	11	71,983.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> .		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input type="checkbox"/> <u>CT, NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.PCLBFOUNDATION.ORG	X	
14 The books are in care of ► D. BEN BENOIT Telephone no. ► 860-572-1242 Located at ► 7 MASON'S ISLAND ROAD, SUITE 1, MYSTIC, CT ZIP+4 ► 06355		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		N/A
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		X
Organizations relying on a current notice regarding disaster assistance, check here ► <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years: _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)		N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:			Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b		X
Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE STATEMENT 17	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		6b		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 16		344,584.	79,068.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CARRIE SCHINDELE - 633 THIRD AVENUE, 16TH FLOOR, NEW YORK, NY 10017	SR. VP, PROGRAMS & ADM. 40.00	185,600.	23,208.	0.
REBECCA GREENBERG ELLIS - 633 THIRD AVENUE, 16TH FLOOR, NEW YORK, NY	PROGRAM OFFICER 40.00	97,181.	29,407.	0.
DANIEL WEISS - 633 THIRD AVENUE, 16TH FLOOR, NEW YORK, NY 10017	PROGRAM DIRECTOR 40.00	104,730.	18,577.	0.
JULIE WONG - 633 THIRD AVENUE, 16TH FLOOR, NEW YORK, NY 10017	GRANTS & ADMINISTRATIVE MANAGER 40.00	89,750.	9,832.	0.
LILLIAN LIANG - 633 THIRD AVENUE, 16TH FLOOR, NEW YORK, NY 10017	PROGRAM OFFICER 40.00	80,604.	18,139.	0.

Total number of other employees paid over \$50,000 ▶ 4

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NORTHERN TRUST - ONE INTERNATIONAL PLACE, SUITE 1600, BOSTON, MA 02110	INVESTMENT MANAGEMENT	380,865.
U.S. TRUST BANK OF AMERICA PRIVATE WEALTH MAN. 185 ASYLUM STREET, HARTFORD, CT 06103	INVESTMENT MANAGEMENT	243,024.
MICHAEL NEUFELD AND ASSOCIATES - 185 PLAINS ROAD, SUITE 303W, MILFORD, CT 06461	LEGAL	70,000.
MORGAN STANLEY - 545 LONG WHARF DR., 7TH FLOOR, NEW HAVEN, CT 06511	INVESTMENT MANAGEMENT	57,260.
PKF O'CONNOR DAVIES, LLP 665 5TH AVENUE, NEW YORK, NY 10022	ACCOUNTING & AUDIT SERVICES	52,787.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 SEE STATEMENT 18	2,000,000.
2 SEE STATEMENT 19	350,000.
3 All other program-related investments. See instructions. SEE STATEMENT 20	
	150,000.
Total. Add lines 1 through 3	2,500,000.

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THE PETER AND CARMEN LUCIA BUCK
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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	529,672,777.
b	Average of monthly cash balances	1b	21,261,851.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	550,934,628.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	550,934,628.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	8,264,019.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	542,670,609.
6	Minimum investment return. Enter 5% of line 5	6	27,133,530.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	27,133,530.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	219,166.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	219,166.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	26,914,364.
4	Recoveries of amounts treated as qualifying distributions	4	1,106,373.
5	Add lines 3 and 4	5	28,020,737.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	28,020,737.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	27,619,380.
b	Program-related investments - total from Part IX-B	1b	2,500,000.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	30,119,380.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	30,119,380.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.**

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				28,020,737.
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014	8,228,059.			
b From 2015	5,525,890.			
c From 2016				
d From 2017				
e From 2018	8,830,926.			
f Total of lines 3a through e	22,584,875.			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ 30,119,380.				
a Applied to 2018, but not more than line 2a ...			0.	
b Applied to undistributed income of prior years (Election required - see instructions) ...		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				28,020,737.
e Remaining amount distributed out of corpus	2,098,643.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	24,683,518.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr. ...			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	8,228,059.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	16,455,459.			
10 Analysis of line 9:				
a Excess from 2015 ...	5,525,890.			
b Excess from 2016 ...				
c Excess from 2017 ...				
d Excess from 2018 ...	8,830,926.			
e Excess from 2019 ...	2,098,643.			

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶ _____

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE PETER AND CARMEN LUCIA BUCK
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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
50CAN 20 CHURCH STREET, MEZZANINE HARTFORD, CT 06103	N/A	PC	CONNCAN - ELEVATING VOICES OF MAGNET SCHOOL PARENTS	155,000.
ACHIEVEMENT FIRST, INC. 370 JAMES STREET, SUITE 404 NEW HAVEN, CT 06513-3091	N/A	PC	GENERAL OPERATING SUPPORT	4,250,000.
AGRICULTURAL STEWARDSHIP ASSOCIATION 2531 STATE ROUTE 40 GREENWICH, NY 12834-2301	N/A	PC	GENERAL OPERATING SUPPORT	100,000.
AMERICAN CIVIL LIBERTIES UNION FOUNDATION OF CONNECTICUT, INC. 765 ASYLUM AVENUE, FL 1 HARTFORD, CT 06105-2822	N/A	PC	GENERAL OPERATING SUPPORT	30,000.
AMIZADE LTD 305 34TH STREET PITTSBURGH, PA 15201-1311	N/A	PC	GENERAL OPERATING SUPPORT	500.
Total	SEE CONTINUATION SHEET(S)			25,615,397.
b Approved for future payment				
ACHIEVEMENT FIRST 370 JAMES STREET, SUITE 404 NEW HAVEN, CT 06513-3091	N/A	PC	GENERAL OPERATING SUPPORT	10,750,000.
ASHLEY HALL U.B. BOX 5505, 408 SOUTH LOCUST STREET GREENCASTLE, IN 46135-1776	NONE	I	SCHOLARSHIP	900.
AYANNA COLUMBUS 2158 PARESKY WILLIAMSTOWN, MA 01267	NONE	I	SCHOLARSHIP	800.
Total	SEE CONTINUATION SHEET(S)			11,394,919.

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ART SCIENCE RESEARCH LABORATORY, INC. 62 GREENE STREET, 3RD FLOOR NEW YORK, NY 10012-4346	N/A	POF	GENERAL OPERATING SUPPORT	10,000.
ASSOCIACAO BENEFICENTE DE RECUPERAO DA ALEGRIA E SADE GETLIO VARGAS AVENUE CENTRO, GALERIA, MINAS GERAIS, BRAZIL 29101120	N/A	NC	GENERAL OPERATING SUPPORT	96,672.
BOOKER T. WASHINGTON ACADEMY 804 STATE STREET NEW HAVEN, CT 06511-3418	N/A	PC	GENERAL OPERATING SUPPORT	600,000.
BOULDER COMMUNITY BROADCAST ASSOCIATION, INC. (DBA KGNU) 4700 WALNUT ST BOULDER, CO 80301-2538	N/A	PC	GENERAL OPERATING SUPPORT	10,000.
BOULDER COUNTY AIDS PROJECT 2118 14TH STREET BOULDER, CO 80302-4804	N/A	PC	GENERAL OPERATING SUPPORT	25,000.
BOULDER PRIDE (DBA OUT BOULDER COUNTY) 1443 SPRUCE ST. BOULDER, CO 80302	N/A	PC	OUT YOUTH PROGRAMMING	10,000.
BOY SCOUTS OF AMERICA - CONNECTICUT RIVERS COUNCIL 60 DARLIN ST EAST HARTFORD, CT 06108-3201	N/A	PC	CAMPERSHIPS	50,000.
BOY SCOUTS OF AMERICA - GREATER NEW YORK COUNCILS 475 RIVERSIDE DRIVE, SUITE 600 NEW YORK, NY 10115	N/A	PC	CAMPERSHIPS	100,000.
BRASS CITY CHARTER SCHOOL 289 WILLOW STREET WATERBURY, CT 06710-1811	N/A	PC	GENERAL OPERATING SUPPORT	375,000.
CAPITAL PREPARATORY SCHOOLS, INC. 777 MAIN ST BRIDGEPORT, CT 06604-4979	N/A	PC	GENERAL OPERATING SUPPORT	1,500,000.
Total from continuation sheets				21,079,897.

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHALKBEAT, INC. 1239 BROADWAY NO 703B NEW YORK, NY 10001-0000	N/A	PC	CHALKBEAT NEW YORK	50,000.
CITY CENTER DANBURY EVENTS, INC. 268 MAIN STREET DANBURY, CT 06810-6652	N/A	PC	HEALTHY FOOD FOR HEALTHIER SENIORS TO AGE WELL IN DANBURY	20,000.
CITY OF DANBURY 10 ELMWOOD PLACE DANBURY, CT 06810	N/A	GOV	DEPARTMENT OF ELDERLY SERVICES	30,000.
CITY OF DANBURY 155 DEER HILL AVENUE DANBURY, CT 06810	N/A	GOV	EMERGENCY HOMELESS SHELTER	25,000.
COLUMBIA LAND CONSERVANCY, INC. 49 MAIN ST CHATHAM, NY 12037-1225	N/A	PC	GENERAL OPERATING SUPPORT	100,000.
COMMUNITY HEALTH PROJECT, INC. 356 W 18TH ST NEW YORK, NY 10011-4401	N/A	PC	GENERAL OPERATING SUPPORT	150,000.
CONNECTICUT COMMUNITY CARE, INC. 43 ENTERPRISE DRIVE BRISTOL, CT 06010-7457	N/A	PC	AGE WELL PROGRAMS	185,000.
CONNECTICUT INSTITUTE FOR COMMUNITIES, INC. 120 MAIN STREET, 4TH FLOOR DANBURY, CT 06810-7834	N/A	PC	DANBURY COMMUNITY CENTER SWIM/SAFETY PROGRAM	25,000.
CONNECTICUT LAND CONSERVATION COUNCIL INC 27 WASHINGTON STREET MIDDLETOWN, CT 06457-2872	N/A	PC	GENERAL OPERATING SUPPORT	100,000.
CONNECTICUT NEWS PROJECT, INC. 1049 ASYLUM AVE HARTFORD, CT 06105-2432	N/A	PC	CT MIRROR	75,000.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DAILY BREAD - AN ECUMENICAL FOOD PANTRY, INC. 25 WEST ST DANBURY, CT 06810-0000	N/A	PC	GENERAL OPERATING SUPPORT	30,000.
DANBURY GRASSROOTS TENNIS, INC. 196 AND 196A MAIN STREET DANBURY, CT 06810	N/A	PC	GENERAL OPERATING SUPPORT	35,000.
DANBURY HOSPITAL & NEW MILFORD HOSPITAL FOUNDATION, INC. 24 HOSPITAL AVE DANBURY, CT 06810-6099	N/A	PC	GOLDSTONE CAREGIVER COORDINATOR	20,000.
DUTCHESS LAND CONSERVANCY, INC. PO BOX 138 MILLBROOK, NY 12545-0138	N/A	PC	GENERAL OPERATING SUPPORT	100,000.
EDUCATION REFORM NOW, INC. 222 BROADWAY FL 19 NEW YORK, NY 10038-2550	N/A	PC	EDUCATION REFORM NOW CONNECTICUT	350,000.
EDUCATORS FOR EXCELLENCE 80 PINE ST 28TH FLR NEW YORK, NY 10005-1733	N/A	PC	E4E-NY (\$275,000) AND E4E-CT (\$175,000)	450,000.
EXCELLENCE COMMUNITY SCHOOLS, INC. 2090 7TH AVENUE - STE 605 NEW YORK, NY 10027-4990	N/A	PC	GENERAL OPERATING SUPPORT	1,000,000.
EXPANDED SCHOOLS, INC. 11 WEST 42ND STREET, 3RD FLOOR NEW YORK, NY 10036-8002	N/A	PC	PATHWAYS FELLOWSHIP	200,000.
FAITHACTS FOR EDUCATION, INC. 160 FAIRFIELD AVE BRIDGEPORT, CT 06604-4205	N/A	PC	GENERAL OPERATING SUPPORT	700,000.
FAMILIES NETWORK OF WESTERN CONNECTICUT, INC. 5 LIBRARY PLACE DANBURY, CT 06810-6617	N/A	PC	PARENT LEADERSHIP ALUMNI	25,000.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FRESH AIR FUND 633 THIRD AVENUE, 14TH FLOOR NEW YORK, NY 10017-6706	N/A	PC	CAMPERSHIPS	50,000.
FRIENDS OF THE DANBURY LIBRARY, INC. PO BOX 3913 DANBURY, CT 06813-3913	N/A	PC	STEM PROGRAMMING	20,000.
FRIENDS OF THE DANBURY MUSEUM & HISTORICAL SOCIETY AUTHORITY, INC. 43 MAIN ST DANBURY, CT 06810-8011	N/A	PC	GENERAL OPERATING SUPPORT	10,000.
FUNDACAO CLINICA CARMEN LUCIA RODOVIA DO SOL, 3499, BARRA DO JUCU VILA VELHA, ESPRITO SANTO, BRAZIL 29125-033	N/A	NC	GENERAL OPERATING SUPPORT	604,608.
GIRL SCOUT COUNCIL OF GREATER NEW YORK, INC. 40 WALL STREET, SUITE 708 NEW YORK, NY 10005-1395	N/A	PC	CAMPERSHIPS	100,000.
GIRL SCOUTS OF CONNECTICUT INC 340 WASHINGTON STREET HARTFORD, CT 06106-3317	N/A	PC	CAMPERSHIPS	100,000.
GLSEN, INC. 110 WILLIAM ST 30TH FLOOR NEW YORK, NY 10038-3901	N/A	PC	GENERAL OPERATING SUPPORT	10,000.
GOOD FOOD INSTITUTE, INC. 1380 MONROE ST. NW #229 WASHINGTON, DC 20010	N/A	PC	GENERAL OPERATING SUPPORT	2,500.
HIGHLANDS CURRENT, INC. 142 MAIN ST. COLD SPRING, NY 10516-2819	N/A	PC	GENERAL OPERATING SUPPORT	10,000.
HIGHVILLE CHARTER SCHOOL, INC. 1 SCIENCE PARK NEW HAVEN, CT 06511-1963	N/A	PC	GETTING TO GREAT	190,000.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HIGHVILLE CHARTER SCHOOL, INC. 1 SCIENCE PARK NEW HAVEN, CT 06511-1963	N/A	PC	GENERAL OPERATING SUPPORT	325,000.
HILLSIDE FOOD OUTREACH, INC. 2 WESTCHESTER PLAZA ELMSFORD, NY 10523	N/A	PC	SENIORS AGING IN PLACE - FOOD DELIVERY INITIATIVE	30,000.
HORIZONS BRIDGEPORT 1057 BROAD STREET, 2ND FLOOR BRIDGEPORT, CT 06604-4219	N/A	PC	GENERAL OPERATING SUPPORT	75,000.
HUDSON HIGHLANDS LAND TRUST, INC. 20 NAZARETH WAY GARRISON, NY 10524-0000	N/A	PC	GENERAL OPERATING SUPPORT	250,000.
INSTITUTE FOR NONPROFIT NEWS 714 W. OLYMPIC BLVD. #931 LOS ANGELES, CA 90015	N/A	PC	GENERAL OPERATING SUPPORT	25,000.
INTREPID FALLEN HEROES FUND ONE INTREPID SQ-W 46TH ST 12TH AVE NEW YORK, NY 10036-0000	N/A	PC	INTREPID SPIRIT CENTER PROJECT	5,000.
JUMOKE ACADEMY, INC. 999 ASYLUM AVE, SUITE 200 HARTFORD, CT 06105-2416	N/A	PC	GETTING TO GREAT	212,000.
JUMOKE ACADEMY, INC. 999 ASYLUM AVE, SUITE 200 HARTFORD, CT 06105-2416	N/A	PC	GENERAL OPERATING SUPPORT	348,000.
JUNIOR ACHIEVEMENT OF GREATER FAIRFIELD COUNTY 835 MAIN STREET BRIDGEPORT, CT 06604-4900	N/A	PC	GENERAL OPERATING SUPPORT	100,000.
JUNIOR ACHIEVEMENT OF SOUTHWEST NEW ENGLAND 70 FARMINGTON AVE HARTFORD, CT 06105-3704	N/A	PC	GENERAL OPERATING SUPPORT	100,000.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LAND TRUST ALLIANCE INCORPORATED 1250 H STREET, NW, SUITE 600 WASHINGTON, DC 20005-3952	N/A	PC	GENERAL OPERATING SUPPORT	170,000.
LEADERSHIP FOR EDUCATIONAL EQUITY FOUNDATION 1805 7TH ST NW 6TH FL WASHINGTON, DC 20001-3186	N/A	PC	GENERAL OPERATING SUPPORT	635,000.
LONGMONT HUMANE SOCIETY, INC. 9595 NELSON ROAD LONGMONT, CO 80501-6318	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
MANITOGA, INC. 584 RT. 9D (PHYSICAL) PO BOX 249 (MAILING) GARRISON, NY 10524-3904	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
MEDECINS SANS FRONTIERS USA, INC. 40 RECTOR ST 16TH FL NEW YORK, NY 10006-1751	N/A	PC	GENERAL OPERATING SUPPORT	1,000,000.
MEMORIAL SLOAN-KETTERING CANCER CENTER 1275 YORK AVENUE NEW YORK, NY 10065-6007	N/A	PC	GENERAL OPERATING SUPPORT	1,000.
NATIONAL CENTER FOR SPECIAL EDUCATION IN CHARTER SCHOOLS, INC. 420 LEXINGTON AVE RM 300 NEW YORK, NY 10170-0399	N/A	PC	IMPROVING SERVICES TO STUDENTS WITH DISABILITIES IN CONNECTICUT CHARTER SCHOOLS	125,000.
NATIONAL CENTER FOR TEACHER RESIDENCIES 1332 N HALSTED ST STE 304 CHICAGO, IL 60642-2694	N/A	PC	NEW SITE DEVELOPMENT (YEAR 2) - SACRED HEART UNIVERSITY	81,500.
NEW HAVEN ECOLOGY PROJECT, INC. 358 SPRINGSIDE AVE NEW HAVEN, CT 06515-1024	N/A	PC	GENERAL OPERATING SUPPORT	350,000.
NEW HOPE BAPTIST CHURCH 10 DR AARON B SAMUELS BLVD DANBURY, CT 06810-0000	N/A	PC	SENIOR MINISTRY	15,000.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW YORK ACADEMY OF SCIENCES 7 WORLD TRADE CENTER 250 NEW YORK, NY 10007-2140	N/A	PC	BUILDING A PIPELINE FROM SCIENCE TO TEACHING	300,000.
NORTHEAST CHARTER SCHOOLS NETWORK INC 2 TOWER PL STE 2 ALBANY, NY 12203-3726	N/A	PC	CT CHARTER SCHOOLS ASSOCIATION (\$1.2 MILLION); NY CHARTER SCHOOLS ASSOCIATION (\$100,000)	1,300,000.
NORTHWEST EVALUATION ASSOCIATION 121 NW EVERETT STREET PORTLAND, OR 97209	N/A	PC	MAP LICENSES AND SERVICES FOR 2019-2020	39,441.
NORTHWEST EVALUATION ASSOCIATION 121 NW EVERETT STREET PORTLAND, OR 97209	N/A	PC	MAP LICENSES AND SERVICES FOR 2020-2021	135,000.
OCEAN COMMUNITY YMCA 95 HIGH ST WESTERLY, RI 02891-1812	N/A	PC	GENERAL OPERATING SUPPORT	210.
ODYSSEY COMMUNITY SCHOOL 579 WEST MIDDLE TURNPIKE MANCHESTER, CT 06040-2728	N/A	PC	GETTING TO GREAT	100,000.
ODYSSEY COMMUNITY SCHOOL 579 WEST MIDDLE TURNPIKE MANCHESTER, CT 06040-2728	N/A	PC	GENERAL OPERATING SUPPORT	200,000.
ONLINE JOURNALISM PROJECT, INC. 51 ELM ST., 3RD FLOOR NEW HAVEN, CT 06510	N/A	PC	GENERAL OPERATING SUPPORT	50,000.
ORANGE COUNTY LAND TRUST 50 OGDEN DRIVE, UNIT A NEW WINDSOR, NY 12553	N/A	PC	GENERAL OPERATING SUPPORT	40,000.
OUR LADY OF FATIMA CHURCH 2071 BALDWIN ST WATERBURY, CT 06706-2604	N/A	PC	CHURCH RENOVATION	25,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PARTNERS IN HEALTH 800 BOYLSTON ST STE 300 BOSTON, MA 02199-7032	N/A	PC	MATERNAL CENTER OF EXCELLENCE PROJECT IN SIERRA LEONE	45,000.
PHI DELTA KAPPA INTERNATIONAL, INC. P.O. BOX 13090 ARLINGTON, VA 22219	N/A	PC	THE GRADE	35,000.
PHI DELTA KAPPA INTERNATIONAL, INC. P.O. BOX 13090 ARLINGTON, VA 22219	N/A	PC	EDUCATORS RISING - CONNECTICUT	275,000.
PROPUBLICA, INC. 155 AVENUE OF THE AMERICAS, 13TH FLOOR NEW YORK, NY 10013	N/A	PC	GENERAL OPERATING SUPPORT	125,000.
PROSPECT SCHOOLS, INC. 355 BRIDGE STREET BROOKLYN, NY 11201	N/A	PC	GENERAL OPERATING SUPPORT	1,750,000.
PUBLIC CONCERN FOUNDATION, INC. 105 HUDSON STREET, SUITE 407 NEW YORK, NY 10013-2325	N/A	PC	MAI PROJECT	10,000.
RAPE ABUSE AND INCEST NATIONAL NETWORK, INC. 1220 L ST NW STE 505 WASHINGTON, DC 20005-4018	N/A	PC	GENERAL OPERATING SUPPORT	2,500.
RECTOR & VISITORS OF THE UNIVERSITY OF VIRGINIA P.O. BOX 400811 CHARLOTTESVILLE, VA 22904	N/A	PC	WTJU RADIO STATION	5,000.
REGIONAL YMCA OF WESTERN CONNECTICUT, INC. 2 HUCKLEBERRY HILL RD BROOKFIELD, CT 06804-2219	N/A	PC	SENIOR HEALTH AND WELLNESS PROGRAMMING AND EDUCATION AND YOUTH ADVOCACY PROGRAMMING	55,000.
RELAY GRADUATE SCHOOL OF EDUCATION C/O EXTERNAL AFFAIRS, 25 BROADWAY NEW YORK, NY 10004-1061	N/A	PC	RELAY CONNECTICUT	350,000.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RETRO REPORT, INC. 7 MASONS ISLAND RD STE 1 MYSTIC, CT 06355-2938	N/A	POF	GENERAL OPERATING SUPPORT	51,000.
RIDGE AND VALLEY CONSERVANCY INC P.O. BOX 146 BLAIRSTOWN, NJ 07825-0146	N/A	PC	GENERAL OPERATING SUPPORT	1,000.
SACRED HEART UNIVERSITY 5151 PARK AVENUE FAIRFIELD, CT 06825-1090	N/A	PC	PRACTICE-BASED TEACHING RESIDENCIES AND THE BRIDGEPORTAL PROGRAM	90,000.
SCENIC HUDSON, INC. 1 CIVIC CENTER PLZ STE 200 POUGHKEEPSIE, NY 12601-3157	N/A	PC	GENERAL OPERATING SUPPORT	400,000.
SHELTER OF THE CROSS, INC. (DBA RENEWAL HOUSE) 18 DR AARON SAMUELS BLVD DANBURY, CT 06810-0000	N/A	PC	GENERAL OPERATING SUPPORT	20,000.
SISTEMA UNIVERSITARIO ANA G MENDEZ AVE. PRINCIPAL SABANA, CARR. 190, BARRIO SABANO ABAJO CAROLINA, PR 00928-1345	N/A	PC	CASA MUSEO PINERO - SECURITY AND MAINTENANCE	100,000.
ST. JOSEPH CHURCH 8 ROBINSON AVENUE DANBURY, CT 06810-5517	N/A	PC	CARMEN LUCIA BUCK RELIGIOUS EDUCATION BUILDING RENOVATION	15,000.
SUCCESS ACADEMY CHARTER SCHOOLS, INC. 95 PINE ST 6TH FLOOR NEW YORK, NY 10005-3917	N/A	PC	GENERAL OPERATING SUPPORT	200,000.
TEACH FOR AMERICA INC 25 BROADWAY 12TH FLOOR NEW YORK, NY 10004-1056	N/A	PC	GENERAL OPERATING SUPPORT	450,000.
TEACH FOR AMERICA, INC. 370 JAMES STREET, SUITE 404 NEW HAVEN, CT 06513	N/A	PC	TEACH FOR AMERICA - CONNECTICUT	350,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TEACH-ORG INC 174 HERMANN ST SAN FRANCISCO, CA 94102-6131	N/A	PC	GENERAL OPERATING SUPPORT	50,000.
TEACH-ORG INC 174 HERMANN ST SAN FRANCISCO, CA 94102-6131	N/A	PC	TEACHCT	452,000.
TEXAS TECH UNIVERSITY 2625 MEMORIAL CIRCLE LUBBOCK, TX 79409	N/A	PC	US PREP NATIONAL CENTER	300,000.
THE CONNECTICUT AUDUBON SOCIETY INCORPORATED 314 UNQUOWA ROAD FAIRFIELD, CT 06824	N/A	PC	GENERAL OPERATING SUPPORT - COASTAL CENTER AT MILFORD POINT	966.
THE ESOPUS FOUNDATION, LTD. 41 SCHERMERHORN ST SUITE 143 BROOKLYN, NY 11201-4802	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
THE GROUNDTRUTH PROJECT, INC. 10 GUEST ST BRIGHTON, MA 02135-2066	N/A	PC	REPORT FOR AMERICA	150,000.
THE MARITIME AQUARIUM AT NORWALK, INC. 10 N WATER ST SOUTH NORWALK, CT 06854-2228	N/A	PC	WHOLE SCHOOL PARTNERSHIP - CHARTER SCHOOL PROGRAM	50,000.
THE NEW YORK CITY CENTER FOR CHARTER SCHOOL EXCELLENCE 111 BROADWAY RM 604 NEW YORK, NY 10006-1975	N/A	PC	GENERAL OPERATING SUPPORT	225,000.
THE SALVATION ARMY 440 WEST NYACK ROAD WEST NYACK, NY 10994-1739	N/A	PC	GENERAL OPERATING SUPPORT	30,000.
THIRD SECTOR NEW ENGLAND INC 89 SOUTH STREET, STE. 7000 BOSTON, MA 02111-0000	N/A	PC	SCHOOL AND STATE FINANCE PROJECT	700,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TIDES CENTER 1014 TORNEY AVENUE SAN FRANCISCO, CA 94129-1755	N/A	PC	100KIN10	175,000.
TODAY'S STUDENTS, TOMORROW'S TEACHERS 333 WESTCHESTER AVE. SUITE SOUTH 208 WHITE PLAINS, NY 10604	N/A	PC	TSTT HIGH SCHOOL TO COLLEGE PIPELINE IN CONNECTICUT	76,500.
TOWN OF WOODBURY 281 MAIN STREET SOUTH WOODBURY, CT 06798	N/A	GOV	WOODBURY SENIOR CENTER	10,000.
TRUST FOR PUBLIC LAND 101 MONTGOMERY ST STE 900 SAN FRANCISCO, CA 94104-4148	N/A	PC	TRUST FOR PUBLIC LAND - CONNECTICUT	125,000.
UNCOMMON SCHOOLS, INC. 826 BROADWAY, 9TH FLOOR NEW YORK, NY 10003-4826	N/A	PC	GENERAL OPERATING SUPPORT	300,000.
UNITED WAY OF WESTERN CONNECTICUT, INC. 301 MAIN STREET NO 2-5 DANBURY, CT 06810-5861	N/A	PC	FOOD SECURITY FOR OLDER ADULTS	60,000.
UNITED WAY OF WESTERN CONNECTICUT, INC. 301 MAIN STREET NO 2-5 DANBURY, CT 06810-5861	N/A	PC	DANBURY SENIOR PROGRAMMING	125,000.
UNIVERSITY OF BRITISH COLUMBIA 6388 CRESCENT ROAD VANCOUVER, BRITISH COLUMBIA, CANADA V6T 1Z2	N/A	PC	GLOBAL REPORTING CENTRE	10,000.
UNIVERSITY OF CONNECTICUT FOUNDATION, INC. 2390 ALUMNI DR UNIT 3206 STORRS, CT 06269-3206	N/A	PC	NURSING STUDY ABROAD SCHOLARSHIP SUPPORT	10,000.
UNIVERSITY OF CONNECTICUT FOUNDATION, INC. 2390 ALUMNI DR UNIT 3206 STORRS, CT 06269-3206	N/A	PC	PSYCHOLOGY MINI-GRANT PROGRAM FOR UNDERGRADUATE RESEARCH	10,000.
Total from continuation sheets				

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

06-1547852

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BINGHAMTON UNIVERSITY ITF GEORGE LEE 4400 VESTAL PARKWAY EAST BINGHAMTON, NY 13902	NONE	I	SCHOLARSHIP	20,573.
COLGATE UNIVERSITY ITF MELITA ANDERSON 13 OAK DRIVE HAMILTON, NY 13346-1338	NONE	I	SCHOLARSHIP	2,764.
DEPAUW UNIVERSITY ITF ASHLEY HALL 204 E. SEMINARY GREENCASTLE, IN 46135-0037	NONE	I	SCHOLARSHIP	34,238.
FUNDACAO CLINICA CARMEN LUCIA RODOVIA DO SOL, 3499, BARRA DO JUCU VILA VELHA, ESPIRITO SANTO, BRAZIL 29125-033	N/A	NC	GENERAL OPERATING SUPPORT	193,890.
GEORGE LEE BU BOX 21882 PO BOX 6020 BINGHAMTON, NY 13902	NONE	I	SCHOLARSHIP	1,800.
MELITA ANDERSON STILLMAN 415 BOX A85, 13 OAK DRIVE HAMILTON, NY 13346	NONE	I	SCHOLARSHIP	1,855.
NORTHWEST EVALUATION ASSOCIATION (NWEA) 121 NW EVERETT STREET PORTLAND, OR 97209	N/A	PC	MAP LICENSES AND SERVICES FOR 2020-2021 SCHOOL YEAR	33,403.
PRINCESS ADU CMC BOX 274041 500 JOSEPH C. WILSON BLVD. ROCHESTER, NY 14627-4041	NONE	I	SCHOLARSHIP	800.
TEACH FOR AMERICA - CONNECTICUT 370 JAMES STREET, SUITE 404 NEW HAVEN, CT 06513	N/A	PC	TEACH FOR AMERICA - CONNECTICUT	350,000.
UNIVERSITY OF ROCHESTER ITF PRINCESS ADU 500 JOSEPH C. WILSON BOULEVARD ROCHESTER, NY 14627	NONE	I	SCHOLARSHIP	3,446.
Total from continuation sheets				643,219.

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2019

Name of the organization

THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.

Employer identification number

06-1547852

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE PETER AND CARMEN LUCIA BUCK FOUNDATION, INC.	Employer identification number 06-1547852
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PETER BUCK NONGRANTOR CLAT OF 04/2009 ONE INTERNATIONAL PLACE, SUITE 1600 BOSTON, MA 02110	\$ 3,130,951.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	THE PETER BUCK TUITION ASSISTANCE TRUST AGREEMENT 185 ASYLUM STREET HARTFORD, CT 06103	\$ 77,989.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	PETER BUCK NONGRANTOR CLAT OF 11/2012 ONE INTERNATIONAL PLACE, SUITE 1600 BOSTON, MA 02110	\$ 5,279,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	PETER BUCK NONGRANTOR CLAT OF 02/2011 185 ASYLUM STREET HARTFORD, CT 06103	\$ 5,508,200.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization THE PETER AND CARMEN LUCIA BUCK FOUNDATION, INC.	Employer identification number 06-1547852
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Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization THE PETER AND CARMEN LUCIA BUCK FOUNDATION, INC.	Employer identification number 06-1547852
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this info. once.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

Name **THE PETER AND CARMEN LUCIA BUCK
FOUNDATION, INC.**

Employer identification number
06-1547852

Note: Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38, on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

Part I Required Annual Payment

1	Total tax (see instructions)	1	219,166.
2 a	Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1	2a	
b	Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method	2b	
c	Credit for federal tax paid on fuels (see instructions)	2c	
d	Total. Add lines 2a through 2c	2d	
3	Subtract line 2d from line 1. If the result is less than \$500, do not complete or file this form. The corporation does not owe the penalty	3	219,166.
4	Enter the tax shown on the corporation's 2018 income tax return. See instructions. Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5	4	183,851.
5	Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3	5	183,851.

Part II Reasons for Filing - Check the boxes below that apply. If any boxes are checked, the corporation **must** file Form 2220 even if it does not owe a penalty. See instructions.

- 6 The corporation is using the adjusted seasonal installment method.
- 7 The corporation is using the annualized income installment method.
- 8 The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

Part III Figuring the Underpayment

	(a)	(b)	(c)	(d)	
9 Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year	9	11/15/19	12/15/19	03/15/20	06/15/20
10 Required installments. If the box on line 6 and/or line 7 above is checked, enter the amounts from Sch A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column	10	45,963.	63,620.	54,792.	54,791.
11 Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions	11	131,149.		100,000.	60,000.
Complete lines 12 through 18 of one column before going to the next column.					
12 Enter amount, if any, from line 18 of the preceding column	12		85,186.	21,566.	66,774.
13 Add lines 11 and 12	13		85,186.	121,566.	126,774.
14 Add amounts on lines 16 and 17 of the preceding column	14				
15 Subtract line 14 from line 13. If zero or less, enter -0-	15	131,149.	85,186.	121,566.	126,774.
16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-	16		0.	0.	
17 Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18	17				
18 Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column	18	85,186.	21,566.	66,774.	

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

Part IV Figuring the Penalty

	(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. (C corporations with tax years ending June 30 and S corporations: Use 3rd month instead of 4th month. Form 990-PF and Form 990-T filers: Use 5th month instead of 4th month.) See instructions	19			
20 Number of days from due date of installment on line 9 to the date shown on line 19	20			
21 Number of days on line 20 after 4/15/2019 and before 7/1/2019	21			
22 Underpayment on line 17 x $\frac{\text{Number of days on line 21} \times 6\% (0.06)}{365}$...	22 \$	\$	\$	\$
23 Number of days on line 20 after 06/30/2019 and before 10/1/2019	23			
24 Underpayment on line 17 x $\frac{\text{Number of days on line 23} \times 5\% (0.05)}{365}$...	24 \$	\$	\$	\$
25 Number of days on line 20 after 9/30/2019 and before 1/1/2020	25			
26 Underpayment on line 17 x $\frac{\text{Number of days on line 25} \times 5\% (0.05)}{365}$...	26 \$	\$	\$	\$
27 Number of days on line 20 after 12/31/2019 and before 4/1/2020	27			
28 Underpayment on line 17 x $\frac{\text{Number of days on line 27} \times 5\% (0.05)}{366}$...	28 \$	\$	\$	\$
29 Number of days on line 20 after 3/31/2020 and before 7/1/2020	29			
30 Underpayment on line 17 x $\frac{\text{Number of days on line 29} \times \%}{366}$	30 \$	\$	\$	\$
31 Number of days on line 20 after 6/30/2020 and before 10/1/2020	31			
32 Underpayment on line 17 x $\frac{\text{Number of days on line 31} \times \%}{366}$	32 \$	\$	\$	\$
33 Number of days on line 20 after 9/30/2020 and before 1/1/2021	33			
34 Underpayment on line 17 x $\frac{\text{Number of days on line 33} \times \%}{366}$	34 \$	\$	\$	\$
35 Number of days on line 20 after 12/31/2020 and before 3/16/2021	35			
36 Underpayment on line 17 x $\frac{\text{Number of days on line 35} \times \%}{365}$	36 \$	\$	\$	\$
37 Add lines 22, 24, 26, 28, 30, 32, 34, and 36	37 \$	\$	\$	\$
38 Penalty. Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 34; or the comparable line for other income tax returns	38 \$			0.

* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at www.irs.gov. You can also call 1-800-829-4933 to get interest rate information.

Schedule A Adjusted Seasonal Installment Method and Annualized Income Installment Method

See instructions.

Form 1120-S filers: For lines 1, 2, 3, and 21, "taxable income" refers to excess net passive income or the amount on which tax is imposed under section 1374(a), whichever applies.

Part I Adjusted Seasonal Installment Method

Caution: Use this method only if the base period percentage for any 6 consecutive months is at least 70%. See instructions.

		(a)	(b)	(c)	(d)
		First 3 months	First 5 months	First 8 months	First 11 months
1 Enter taxable income for the following periods.					
a Tax year beginning in 2016	1a				
b Tax year beginning in 2017	1b				
c Tax year beginning in 2018	1c				
2 Enter taxable income for each period for the tax year beginning in 2019. See the instructions for the treatment of extraordinary items	2				
3 Enter taxable income for the following periods.		First 4 months	First 6 months	First 9 months	Entire year
a Tax year beginning in 2016	3a				
b Tax year beginning in 2017	3b				
c Tax year beginning in 2018	3c				
4 Divide the amount in each column on line 1a by the amount in column (d) on line 3a	4				
5 Divide the amount in each column on line 1b by the amount in column (d) on line 3b	5				
6 Divide the amount in each column on line 1c by the amount in column (d) on line 3c	6				
7 Add lines 4 through 6	7				
8 Divide line 7 by 3.0	8				
9a Divide line 2 by line 8	9a				
b Extraordinary items (see instructions)	9b				
c Add lines 9a and 9b	9c				
10 Figure the tax on the amt on ln 9c using the instr for Form 1120, Sch J, line 2, or comparable line of corp's return ...	10				
11a Divide the amount in columns (a) through (c) on line 3a by the amount in column (d) on line 3a	11a				
b Divide the amount in columns (a) through (c) on line 3b by the amount in column (d) on line 3b	11b				
c Divide the amount in columns (a) through (c) on line 3c by the amount in column (d) on line 3c	11c				
12 Add lines 11a through 11c	12				
13 Divide line 12 by 3.0	13				
14 Multiply the amount in columns (a) through (c) of line 10 by columns (a) through (c) of line 13. In column (d), enter the amount from line 10, column (d)	14				
15 Enter any alternative minimum tax (trusts only) for each payment period. See instructions	15				
16 Enter any other taxes for each payment period. See instr.	16				
17 Add lines 14 through 16	17				
18 For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions	18				
19 Total tax after credits. Subtract line 18 from line 17. If zero or less, enter -0-	19				

Part II ^{**} Annualized Income Installment Method

		(a)	(b)	(c)	(d)
		First <u>2</u> months	First <u>3</u> months	First <u>6</u> months	First <u>9</u> months
20	Annualization periods (see instructions)				
21	Enter taxable income for each annualization period. See instructions for the treatment of extraordinary items	2,081,399.	3,122,098.	7,246,161.	10,868,490.
22	Annualization amounts (see instructions)	6.000000	4.000000	2.000000	1.333330
23a	Annualized taxable income. Multiply line 21 by line 22 ..	12,488,394.	12,488,392.	14,492,322.	14,491,284.
23b	Extraordinary items (see instructions)				
23c	Add lines 23a and 23b	12,488,394.	12,488,392.	14,492,322.	14,491,284.
24	Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 2, or comparable line of corporation's return	249,768.	249,768.	289,846.	289,826.
25	Enter any alternative minimum tax (trusts only) for each payment period (see instructions)				
26	Enter any other taxes for each payment period. See instr.				
27	Total tax. Add lines 24 through 26	249,768.	249,768.	289,846.	289,826.
28	For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions				
29	Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0-	249,768.	249,768.	289,846.	289,826.
30	Applicable percentage	25%	50%	75%	100%
31	Multiply line 29 by line 30	62,442.	124,884.	217,385.	289,826.

Part III Required Installments

		1st installment	2nd installment	3rd installment	4th installment
		Note: Complete lines 32 through 38 of one column before completing the next column.			
32	If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are completed, enter the smaller of the amounts in each column from line 19 or line 31	62,442.	124,884.	217,385.	289,826.
33	Add the amounts in all preceding columns of line 38. See instructions		45,963.	109,583.	164,375.
34	Adjusted seasonal or annualized income installments. Subtract line 33 from line 32. If zero or less, enter -0- ..	62,442.	78,921.	107,802.	125,451.
35	Enter 25% (0.25) of line 5 on page 1 of Form 2220 in each column. Note: "Large corporations," see the instructions for line 10 for the amounts to enter	45,963.	63,620.	54,792.	54,791.
36	Subtract line 38 of the preceding column from line 37 of the preceding column				
37	Add lines 35 and 36	45,963.	63,620.	54,792.	54,791.
38	Required installments. Enter the smaller of line 34 or line 37 here and on page 1 of Form 2220, line 10. See instructions	45,963.	63,620.	54,792.	54,791.

Form 2220 (2019)

**** ANNUALIZED INCOME INSTALLMENT METHOD USING STANDARD OPTION**

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SALE OF PUBLICLY TRADED SECURITIES			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
129,614,700.	129,956,912.	0.	0.	<342,212.>	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SALE OF DONATED SECURITIES			DONATED		
(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
1,301,590.	1,617,669.	0.	0.	<316,079.>	

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	<u><658,291.></u>

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA MONEY MARKET INTEREST	202,566.	202,566.	
MORGAN STANLEY MONEY MARKET INTEREST	726.	726.	
NORTHERN TRUST MONEY MARKET INTEREST	61,442.	61,442.	
TOTAL TO PART I, LINE 3	<u>264,734.</u>	<u>264,734.</u>	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME FROM INVESTMENTS	5,860,296.	0.	5,860,296.	5,860,296.	
INTEREST INCOME FROM INVESTMENTS	5,514,361.	0.	5,514,361.	5,514,361.	
TO PART I, LINE 4	11,374,657.	0.	11,374,657.	11,374,657.	

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
PROGRAMMATIC RENTAL INCOME	103,510.	103,510.	
CREDIT CARD CASH REFUND	6,373.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	109,883.	103,510.	

FORM 990-PF LEGAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MICHAEL A. NEUFELD & ASSOC., LLC	70,000.	0.		70,000.
TO FM 990-PF, PG 1, LN 16A	70,000.	0.		70,000.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANA CLAUDIA SIMONES LIMA	1,000.	0.		1,000.
DEMASCO SENA AND JAHELKA	25,900.	0.		25,900.
HOYT, FILIPPETTI & MALAGHAN, LLC	225.	0.		225.
PKF O'CONNOR DAVIES, LLP	52,787.	0.		52,787.
TO FORM 990-PF, PG 1, LN 16B	79,912.	0.		79,912.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANA CLAUDIA SIMONES LIMA - ABRAS CONSULTING	15,000.	0.		15,000.
BANK OF AMERICA - INVESTMENT MANAGEMENT FEES	243,024.	243,024.		0.
MORGAN STANLEY - INVESTMENT MANAGEMENT FEES	57,260.	57,260.		0.
NORTHERN TRUST - INVESTMENT MANAGEMENT FEES	380,865.	380,865.		0.
TO FORM 990-PF, PG 1, LN 16C	696,149.	681,149.		15,000.

FORM 990-PF

TAXES

STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	336.	336.		0.
FEDERAL EXCISE TAX	160,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	160,336.	336.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 9
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
IT SUPPORT	42.	0.		42.
OFFICE AND OTHER EXPENSES	39,040.	0.		39,040.
OFFICE CONSTRUCTION	2,210.	0.		2,210.
PAYROLL PROCESSING	4,111.	0.		4,111.
POSTAGE	342.	0.		342.
PROFESSIONAL DEVELOPMENT	511.	0.		511.
SOFTWARE	46,201.	0.		46,201.
STATE FILING FEES	1,575.	0.		1,575.
WEBSITE DESIGN AND MAINTENANCE	16,036.	0.		16,036.
INTERNET AND TELEPHONE	12,043.	0.		12,043.
DUES & SUBSCRIPTIONS	21,864.	0.		21,864.
FURNITURE & EQUIPMENT	4,689.	0.		4,689.
INSURANCE	25,609.	0.		25,609.
OTHER EXPENSE	420.	0.		420.
TO FORM 990-PF, PG 1, LN 23	174,693.	0.		174,693.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 10
DESCRIPTION		AMOUNT
CHANGE IN UNREALIZED GAIN ON INVESTMENTS		9,008,207.
TOTAL TO FORM 990-PF, PART III, LINE 3		9,008,207.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS		STATEMENT 11	
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A - U.S. GOVERNMENT OBLIGATIONS	X		107,690,912.	107,690,912.
TOTAL U.S. GOVERNMENT OBLIGATIONS			107,690,912.	107,690,912.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			107,690,912.	107,690,912.

FORM 990-PF	CORPORATE STOCK	STATEMENT 12
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A - CORPORATE STOCK	182,210,327.	182,210,327.
TOTAL TO FORM 990-PF, PART II, LINE 10B	182,210,327.	182,210,327.

FORM 990-PF	CORPORATE BONDS	STATEMENT 13
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A - CORPORATE BONDS	85,456,521.	85,456,521.
TOTAL TO FORM 990-PF, PART II, LINE 10C	85,456,521.	85,456,521.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 14	
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A - INTERNATIONAL BONDS	FMV	21,095,131.	21,095,131.
SEE ATTACHMENT A - MUTUAL FUNDS	FMV	126,922,558.	126,922,558.
TOTAL TO FORM 990-PF, PART II, LINE 13		148,017,689.	148,017,689.

FORM 990-PF	OTHER ASSETS	STATEMENT 15	
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROGRAM RELATED INVESTMENTS	10,346,253.	11,746,253.	11,746,253.
TO FORM 990-PF, PART II, LINE 15	10,346,253.	11,746,253.	11,746,253.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 16

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CHRISTOPHER BUCK 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	PRESIDENT/DIRECTOR 20.00	25,000.	25,045.	0.
WILLIAM BUCK 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	TREASURER/DIRECTOR 20.00	25,000.	24,988.	0.
MICHAEL BUCK 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	SECRETARY/DIRECTOR 20.00	25,000.	298.	0.
VERA LOURENCO 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	DIRECTOR 20.00	25,000.	18,587.	0.
D. BEN BENOIT 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	EXECUTIVE DIRECTOR 30.00	219,584.	9,410.	0.
SAMUEL BUCK 633 THIRD AVENUE, 16TH FLOOR NEW YORK, NY 10017	DIRECTOR 20.00	25,000.	740.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		344,584.	79,068.	0.

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 17

GRANTEE'S NAME

ASSOCIACAO BENEFICENTE DE RECUPERACAO DA ALEGRIA E SAUDE (ABRAS)

GRANTEE'S ADDRESS

GETLIO VARGAS AVENUE
CENTRO, GALERIA , BRAZIL, 29101120

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
107,904.	11/02/18	107,904.

PURPOSE OF GRANT

RE-GRANTING TO CHARITABLE ORGANIZATIONS IN BRAZIL

DATES OF REPORTS BY GRANTEE

7/31/2019, 8/30/2019, 8/31/2019, 9/30/2019, 10/31/2019, 11/30/2019

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO BENEFICENTE DE RECUPERACAO DA ALEGRIA E SAUDE (ABRAS)

GRANTEE'S ADDRESS

GETLIO VARGAS AVENUE
CENTRO, GALERIA , BRAZIL, 29101120

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,357.	07/29/19	1,357.

PURPOSE OF GRANT

RE-GRANTING TO CHARITABLE ORGANIZATIONS IN BRAZIL

DATES OF REPORTS BY GRANTEE

7/31/2019, 8/30/2019, 8/31/2019, 9/30/2019, 10/31/2019, 11/30/2019

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO BENEFICENTE DE RECUPERACAO DA ALEGRIA E SAUDE (ABRAS)

GRANTEE'S ADDRESS

GETLIO VARGAS AVENUE
CENTRO, GALERIA , BRAZIL, 29101120

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
8,725.	11/13/19	8,725.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT AND RE-GRANTS TO CHARITABLE ORGANIZATIONS IN BRAZIL

DATES OF REPORTS BY GRANTEE

12/31/2019, 1/31/2020, 2/28/2020, 3/31/2020, 4/30/2020, 5/31/2020, 6/30/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO BENEFICENTE DE RECUPERACAO DA ALEGRIA E SAUDE (ABRAS)

GRANTEE'S ADDRESS

GETLIO VARGAS AVENUE
CENTRO, GALERIA , BRAZIL, 29101120

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
86,590.	04/10/20	86,590.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT AND RE-GRANTS TO CHARITABLE ORGANIZATIONS IN BRAZIL

DATES OF REPORTS BY GRANTEE

12/31/2019, 1/31/2020, 2/28/2020, 3/31/2020, 4/30/2020, 5/31/2020, 6/30/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
314,423.	11/02/18	314,423.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2019, 2/28/2019, 3/31/2019, 4/30/2019, 5/31/2019, 6/30/2019, 8/31/2019

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	07/29/19	150,000.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

7/31/2019, 8/30/2019, 8/31/2019, 9/30/2019, 10/31/2019, 11/30/2019,
12/31/2019

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	08/26/19	150,000.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

7/31/2019, 8/30/2019, 8/31/2019, 9/30/2019, 10/31/2019, 11/30/2019,
12/31/2019

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,725.	11/13/19	1,725.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

7/31/2019, 8/30/2019, 8/31/2019, 9/30/2019, 10/31/2019, 11/30/2019,
12/31/2019

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
2,531.	12/12/19	2,531.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

7/31/2019, 8/30/2019, 8/31/2019, 9/30/2019, 10/31/2019, 11/30/2019,
12/31/2019

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	01/24/20	150,000.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020, 2/28/2020, 3/31/2020, 4/30/2020, 5/31/2020, 6/30/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	03/17/20	78,090.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020, 2/28/2020, 3/31/2020, 4/30/2020, 5/31/2020, 6/30/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUNDACAO CLINICA CARMEN LUCIA

GRANTEE'S ADDRESS

RODOVIA DO SOL, 3499, BARRA DO JUCU
VILA VELHA, BRAZIL, 29125-033

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
352.	10/25/19	352.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020, 2/28/2020, 3/31/2020, 4/30/2020, 5/31/2020, 6/30/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ART SCIENCE RESEARCH LABORATORY, INC.

GRANTEE'S ADDRESS

62 GREENE STREET, 3RD FLOOR
NEW YORK, NY 10012-4346

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
10,000.	04/28/20	0.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

RETRO REPORT, INC.

GRANTEE'S ADDRESS

7 MASONS ISLAND RD STE 1
MYSTIC, CT 06355-2938

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	05/17/19	50,000.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

RETRO REPORT, INC.

GRANTEE'S ADDRESS

7 MASONS ISLAND RD STE 1
MYSTIC, CT 06355-2938

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,000.	11/20/19	1,000.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

6/30/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

RETRO REPORT, INC.

GRANTEE'S ADDRESS

7 MASONS ISLAND RD STE 1
MYSTIC, CT 06355-2938

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	04/28/20	0.

PURPOSE OF GRANT

GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ABRIGO A VELHICE DESAMPARADA AUTA LOUREIRO MACHADO - AVEDALMA*

GRANTEE'S ADDRESS

RUA JOO RODRIGUES FILHO 425
CARIACICA, BRAZIL, 29.156-035

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

APAE RESPLENDOR*

GRANTEE'S ADDRESS

RUA EDUARDO MENEGUSSI, 419 NOSSA SENHORA DE FTIMA
RESPLENDOR, BRAZIL, 35230-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
4,343.	07/03/19	4,343.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO AINDA HA ESPERANCA*

GRANTEE'S ADDRESS

RUA DOUTOR JOSE FIORACANTE DE VASCONCELOS, 260, SANTOS PRATES I
MANTENA, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO DE PAIS E AMIGOS DOS EXCEPCIONAIS DE MANTENA - APAE MANTENA*

GRANTEE'S ADDRESS

RUA ELIANE MELADO, 441 BAIRRO SANTO ANTONIO
MANTENA, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: ENERGY OF GOOD - EFFICIENT ECONOMY

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO DE PROMOCAO HUMANA ORAACAO - FRATERNIDADE ORAAO*

GRANTEE'S ADDRESS

AV. DEPUTADO NILTON GOMES S/N RODOVIA DO SOL, KM 20 RETIRO DO CONGO
VILA VELHA, BRAZIL, 29.128-992

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO FEMININA DE EDUCACAO E COMBATE AO CANCER - AFECC*

GRANTEE'S ADDRESS

AV. MARECHAL CAMPOS, 1579 SANTA CECILIA
VITRIA, BRAZIL, 29043-260

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO MOBILIZADORA DE IGREJAS EVANGELICAS PRO CRIANCAS CARENTES - AMIE*

GRANTEE'S ADDRESS

RUE PRESIDENTE LIMA, 385, CENTRO
VILA VELHA, BRAZIL, 29100-330

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: AFTERSCHOOL PROGRAMS

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO PESTALOZZI DE SANTA TEREZA*

GRANTEE'S ADDRESS

LADEIRA FORTUNATO CARLOS BONINO 457 BAIRRO VILA NOVA
SANTA TERESA, BRAZIL, 29650-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO PRESENTE DE APOIO A PACIENTES COM CANCER*

GRANTEE'S ADDRESS

RUA MARIA FERNANDA FREITAS DE JESUS CORDEIRO, 242, CANELAS
MONTES CLAROS, BRAZIL, 39402-610

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CRECHE CASULO AMOR A CRIANCA*

GRANTEE'S ADDRESS

RUA PROFESSOR DERLY JOS DE ALMEIDA, 600
MANTENA, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: PROJECT PROPRIO

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CRECHE CASULO DO AMOR*

GRANTEE'S ADDRESS

RUA PROFESSOR DERLY JOS DE ALMEIDA, 600
MANTENA, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
2,600.	07/03/19	2,600.

PURPOSE OF GRANT

ABRAS RE-GRANT: PROJECT REFRIGRIO

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CRECHE PEQUENO LAR*

GRANTEE'S ADDRESS

RUA JOS RESENDE FILHO, 169 DIVINO ESPRITO SANTO
VILA VELHA, BRAZIL, 29106470

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,300.	07/03/19	1,300.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO GUARDA MIRIM DE MANTENA*

GRANTEE'S ADDRESS

RUA SETE DE SETEMBRO, 901
CENTRO, BRAZIL, 35290

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,300.	07/03/19	1,300.

PURPOSE OF GRANT

ABRAS RE-GRANT: EQUIPMENT PURCHASE

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HOSPITAL EVANGELICO DE VILA VELHA*

GRANTEE'S ADDRESS

RUA VNUS, S/N
ALECRIM, BRAZIL, 29.118-060

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HOSPITAL MADRE REGINA PROTSMANN - HOSPITAL DE SANTA TEREZA*

GRANTEE'S ADDRESS

AV. DAS ACADCIAS, 417, JARDIM DA MONTANHA
SANTA TERESA, BRAZIL, 29650

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

INSTITUTO NOSSA SENHORA DE FATIMA - INSF*

GRANTEE'S ADDRESS

RUA WILSON MELADO, 18, CENTRO
MANTENA, BRAZIL, 35290

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

INSTITUTO SOCIAL ESPERANCA*

GRANTEE'S ADDRESS

R. ORDEM E PROGRESSO, 31 ARGOLAS
VILA VELHA, BRAZIL, 29123-012

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
5,643.	07/03/19	5,643.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

LAR FREI AURELIO*

GRANTEE'S ADDRESS

RUA ROMERO LOFEGO BOTELHO, 350, PRAIA DA COSTA
VILA VELHA, BRAZIL, 29101-420

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
5,643.	07/03/19	5,643.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

OBRAS DA PAROQUIA DE SANTO ANTONIO*

GRANTEE'S ADDRESS

PRACA FERNANDES FILHO, 61 - BAIRRO CENTRO
MANTENA, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,528.	07/03/19	6,528.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO DE PAIS E AMIGOS DOS EXCEPCIONAIS DE RESPLENDOR*

GRANTEE'S ADDRESS

RUA EDUARDO MENEGUSSI 419
RESPLENDOR, BRAZIL, 35230-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO LAR FREI AURELIO STULZER*

GRANTEE'S ADDRESS

RUA ROMERO LOFEGO BOTELHO 350 PRAIA DA COSTA
VILA VELHA, BRAZIL, 29101-068

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO MOBILIZADORA DE IGREJAS EVANGELICAS PRO CRIANCAS CARENTES - AMIE*

GRANTEE'S ADDRESS

RUA PRESIDENTE LIMA 385 CENTRO
VILA VELHA, BRAZIL, 29100-330

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: AFTERSCHOOL PROGRAMS - CLEANING & HR

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ABRIGO A VELHICE DESAMPARADA AUTA LOUREIRO MACHADO - AVEDALMA*

GRANTEE'S ADDRESS

RUA JOAO RODRIGUES FILHO 425 CARIACICA SEDE
CARIACICA, BRAZIL, 29.156-035

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO DE PAIS E AMIGOS DOS EXCEPCIONAIS DE MANTENA - APAE MANTENA*

GRANTEE'S ADDRESS

RUA ELIANE MELADO 441 BAIRRO SANTO ANTONIO
MANTENA, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: "BRINCAR E CRESCER" (PLAYING AND GROWING) - TOY LIBRARY

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO FEMININA DE EDUCACAO E COMBATE AO CANCER - AFECC*

GRANTEE'S ADDRESS

RUA MARECHAL CAMPOS 1579 SANTA CECILIA
VITORIA, BRAZIL, 29.043-260

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO PESTALOZZI DE SANTA TEREZA*

GRANTEE'S ADDRESS

LADEIRA FORTUNATO CARLOS BONINO 457 VILA NOVA
SANTA TEREZA, BRAZIL, 29.650-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HOSPITAL MADRE REGINA PROTSMANN*

GRANTEE'S ADDRESS

RUA DAS ACACIAS N 417 BAIRRO JARDIM DA MONTANHA
SANTA TERESA, BRAZIL, 29650-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CRECHE CASULO AMOR A CRIANCA*

GRANTEE'S ADDRESS

RUA PROFESSOR DERLY JOSE DE ALMEIDA 600 CENTRO
MANTENA, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
2,633.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: PROJECT REFRIGERIO

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CRECHE CASULO AMOR CRIANCA*

GRANTEE'S ADDRESS

RUA PROFESSOR DERLY JOSE DE ALMEIDA 600 CENTRO
MANTENA, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: PROJECT PROPRIO

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO EVANGELICA BENEFICENTE ESPIRITO SANTENSE - AEBES*

GRANTEE'S ADDRESS

RUA VENUS, SN ALECRIM
VILA VELHA, BRAZIL, 29.118-060

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

INSTITUTO NOSSA SENHORA DE FATIMA - INAF*

GRANTEE'S ADDRESS

RUA WILSON MELADO 18 CENTRO
MANTENA, BRAZIL, 35.290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

OBRAS DA PAROQUIA DE SANTO ANTONIO*

GRANTEE'S ADDRESS

PRACA FERNANDES FILHO 62 CENTRO
MANTENA, BRAZIL, 35.290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,057.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CRECHE PEQUENO LAR*

GRANTEE'S ADDRESS

RUA JOSE REZENDE FILHO 169 BAIRRO DIVINO
VILA VELHA, BRAZIL, 29107-248

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
2,257.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: GENERAL OPERATING SUPPORT

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ASSOCIACAO GUARDA MIRIM DE MANTENA*

GRANTEE'S ADDRESS

RUA SETE DE SETEMBRO 901 CENTRO
MANTENA, BRAZIL, 35290-000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
2,257.	05/27/20	0.

PURPOSE OF GRANT

ABRAS RE-GRANT: EQUIPMENT PURCHASE

DATES OF REPORTS BY GRANTEE

NARRATIVE, FINANCIAL REPORT DUE 1/31/2021

ANY DIVERSION BY GRANTEE

NO

FORM 990-PF

SUMMARY OF PROGRAM-RELATED INVESTMENTS

STATEMENT 18

DESCRIPTION

IN ACCORDANCE WITH THE PROGRAMS AND POLICIES OF THE FOUNDATION TO SUPPORT LAND CONSERVATION, DURING THE FISCAL YEAR ENDED JUNE 30, 2020, \$2,000,000 WAS LOANED TO THE SCENIC HUDSON LAND TRUST, INC. FOR THE PURPOSE OF ACQUIRING APPROXIMATELY 508 ACRES OF PROPERTY LOCATED ALONG THE HUDSON RIVER IN THE TOWN OF KINGSTON, COUNTY OF ULSTER AND STATE OF NEW YORK. THE NOTE HAS AN INTEREST RATE OF 0% PER ANNUM, FOR THE FIRST FIVE YEARS OF THE LOAN. BEGINNING WITH THE SIXTH YEAR OF THE LOAN, THE INTEREST RATE WILL BE 3.00% PER ANNUM, COMPOUNDED ANNUALLY. THE TERM OF THE LOAN IS THE EARLIER OF TEN YEARS OR UPON THE SALE OR DISPOSAL OF ALL OR ANY PORTION OF THE PROPERTY. AS OF JUNE 30, 2020 THE OUTSTANDING BALANCE ON THE LOAN IS \$2,000,000.

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 1

2,000,000.

FORM 990-PF

SUMMARY OF PROGRAM-RELATED INVESTMENTS

STATEMENT 19

DESCRIPTION

IN ACCORDANCE WITH THE PROGRAMS AND POLICIES OF THE FOUNDATION TO SUPPORT LAND CONSERVATION, DURING THE FISCAL YEAR ENDED JUNE 30, 2020, \$350,000 WAS LOANED TO WESTCHESTER LAND TRUST, INC. FOR THE PURPOSE OF ACQUIRING APPROXIMATELY 91 ACRES OF PROPERTY LOCATED IN THE TOWN OF KINGSTON, COUNTY OF ULSTER AND STATE OF NEW YORK. THE NOTE HAS AN INTEREST RATE OF 0% PER ANNUM. THE TERM OF THE LOAN IS FIVE YEARS OR UPON THE SALE OR DISPOSAL OF ALL OR ANY PORTION OF THE PROPERTY. AS OF JUNE 30, 2020 THE OUTSTANDING BALANCE ON THE LOAN IS \$350,000.

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 2

350,000.

GENERAL EXPLANATION

STATEMENT 20

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

FORM 990, PART IX-B - SUMMARY OF PROGRAM RELATED INVESTMENTS

EXPLANATION:

IN ACCORDANCE WITH THE PROGRAMS AND POLICIES OF THE FOUNDATION TO SUPPORT LAND CONSERVATION, DURING THE FISCAL YEAR ENDED JUNE 30, 2020, \$150,000 WAS LOANED TO THE ORANGE COUNTY LAND TRUST, INC. FOR THE PURPOSE OF ACQUIRING APPROXIMATELY 71 ACRES OF PROPERTY LOCATED IN THE TOWN OF WARWICK, COUNTY OF ORANGE, STATE OF NEW YORK. THE NOTE HAS AN INTEREST RATE OF 0% PER ANNUM, FOR THE FIRST FIVE YEARS OF THE LOAN. BEGINNING WITH THE SIXTH YEAR OF THE LOAN, THE INTEREST RATE WILL BE 1.75% PER ANNUM, COMPOUNDED ANNUALLY. THE TERM OF THE LOAN IS THE EARLIER OF SIX YEARS OR UPON THE SALE OR DISPOSAL OF ALL OR ANY PORTION OF THE PROPERTY. AS OF JUNE 30, 2020 THE OUTSTANDING BALANCE ON THE LOAN IS \$150,000.

GENERAL EXPLANATION

STATEMENT 21

DESCRIPTION/RETURN REFERENCE

EXPENDITURE RESPONSIBILITY

EXPLANATION:

GRANTS THAT WERE RE-GRANTED BY ASSOCIAO BENEFICENTE DE RECUPERAÇÃO DA ALEGRIA E SADE (ABRAS) ARE INDICATED WITH AN ASTERISK (*) ON STATEMENT 17.

INVESTMENTS HOLDING SUMMARY

CORPORATE STOCK	ATTACHMENT A-1 PAGE 24 OF 24	\$ 79,269,715
	ATTACHMENT A-2 PAGE 5 OF 10	102,940,612
	TOTAL CORPORATE STOCK	182,210,327
CORPORATE BONDS	ATTACHMENT A-1 PAGE 24 OF 24	42,877,603
	ATTACHMENT A-3 PAGE 36 OF 36	42,578,918
	TOTAL CORPORATE BONDS	85,456,521
U.S. GOVERNMENT OBLIGATIONS	ATTACHMENT A-1 PAGE 24 OF 24	58,422,085
	ATTACHMENT A-3 PAGE 36 OF 36	49,268,827
	TOTAL U.S. GOVERNMENT OBLIGATIONS	107,690,912
MUTUAL FUNDS	ATTACHMENT A-1 PAGE 24 OF 24	59,015,499
	ATTACHMENT A-2 PAGE 10 OF 10	40,231,647
	ATTACHMENT A-4 PAGE 7 OF 7	27,675,412
	TOTAL MUTUAL FUNDS	126,922,558
INTERNATIONAL BONDS	ATTACHMENT A-1 PAGE 23 OF 24	11,936,755
	ATTACHMENT A-3 PAGE 36 OF 36	9,158,376
	TOTAL INTERNATIONAL BONDS	21,095,131
TOTAL		\$ 523,375,449